# An Expedient Synthesis of $\beta$-Phenyl Substituted Baylis-Hillman and Aza-Baylis-Hillman Adducts 

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During the last two decades notable improvements in the Baylis-Hillman chemistry have been achieved in view of its reaction rate and synthetic applications of Baylis-Hillman adducts. ${ }^{1}$ However, synthesis of $\beta$-branched Baylis-Hillman adducts has still remained as a difficult task. ${ }^{2,3}$ Synthesis of these compounds has been carried out either via the vinylalumination of activated carbonyl compounds ${ }^{2 \mathrm{adc}}$ or $\mathrm{SmI}_{2}-$ mediated reaction of $\alpha$-halo- $\alpha, \beta$-unsaturated esters with carbonyls. ${ }^{2 \mathrm{~d}}$ However, these methods suffer from the use of expensive/moisture-sensitive reagents and $\alpha, \beta$-acetylenic esters as starting materials which are not easily accessible.

For the synthesis of poly-substituted benzenes ${ }^{4 a-c}$ and pyridines ${ }^{4 \mathrm{~d}}$ we required $\beta$-phenyl Baylis-Hillman adducts such as 3a. Thus, we examined the synthesis of $\beta$-phenyl Baylis-Hillman adduct by following the successive FriedelCrafts reaction of Baylis-Hillman adduct 1a to 2a, ${ }^{5}$ bromination at the benzylic position of 2a with NBS ( N -bromosuccinimide), ${ }^{6}$ and the final substitution reaction with water as a nucleophile, ${ }^{7}$ as depicted in Scheme 1.
The starting material 2a $(E)$ was prepared according to the reported method by the Friedel-Crafts reaction of $\mathbf{1 a}$ and benzene in the presence of $\mathrm{H}_{2} \mathrm{SO}_{4}$ in moderate yield (68\%). ${ }^{5}$ Trace amounts of the corresponding $Z$-isomer was removed during the column separation stage. Bromination of 2a with NBS in $\mathrm{CCl}_{4}$ in the presence of AIBN produced the corresponding allylic bromides (I) and (II) which turned out too unstable to be isolated. The bromide (II) was generated via the bromination after allylic rearrangement of the initially generated allylic radical (vide infra, Scheme 2).

During the bromination reaction we observed the formation of trace amounts of 3a, which might be produced by the substitution reaction of the intermediate bromides with trace amounts of water in the reaction mixture. Thus, we decided to prepare 3a without isolation of the bromide intermediates. The actual experiment was carried out as follows: bromination of $\mathbf{2 a}$ (NBS, $\mathrm{CCl}_{4}$, AIBN, reflux, 1 h ),
filtration, concentration, and followed by the reaction in aqueous DMSO $\left(80^{\circ} \mathrm{C}, 1 \mathrm{~h}\right)$. By following the procedure we obtained $\mathbf{3 a}-Z(61 \%)$ and $\mathbf{3 a}-E(21 \%)$. The stereochemistry of 3a could be assigned based on the chemical shift of vinyl proton by comparison with the reported data. ${ }^{2 a-c, 3 e}$ The vinyl proton of $3 \mathrm{a}-Z$ appeared at $\delta=6.93 \mathrm{ppm}$, while that of the $E$ form at $\delta=7.97 \mathrm{ppm}$. As depicted in Scheme 2, both isomers $\mathbf{3 a}-Z$ and $\mathbf{3 a}-E$ can be formed by following different pathways due to allylic rearrangement in the bromination stage and the competition between $\mathrm{S}_{N} 2$ and $\mathrm{S}_{N} 2$ pathways in the substitution reaction. ${ }^{8}$

Encouraged by the results we carried out the synthesis of some analogous derivatives $\mathbf{3 b}-\mathbf{f}$ and the results are summarized in Table 1. Irrespective of the electron-withdrawing groups (-COOEt, -COMe, -CN) we obtained desired products 3b-d in moderate yields (53-68\%, entries 2-4). However, we could not isolate the minor components ( $\mathbf{3 c}-E$


Scheme 2


Scheme 1

Table 1. Synthesis of $\beta$-phenyl Baylis-Hillman and aza-BaylisHillman adducts

${ }^{a}$ Prepared by Friedel-Crafts reaction according to the reported method ${ }^{5}$ and stereochemically pure compounds were used ( $E$ for 2a-c and $Z$ for 2d) and the yield is shown in parenthesis. ${ }^{b}$ Conditions: (i) NBS (1.2 equiv), $\mathrm{CCl}_{4}$, cat AlBN, reflux, 1 h ; (ii) filter; (iii) aq DMSO, $80^{\circ} \mathrm{C}, 1 \mathrm{~h}$. ${ }^{c}$ Not isolated. ${ }^{d}$ Conditions: (i) NBS ( 1.2 equiv), CCl-, cat AlBN, reflux, 1 h ; (ii) pyrrolidine ( 3.0 equiv), rt, $18 \mathrm{~h} .{ }^{e}$ Conditions: (i) NBS ( 1.2 equiv), $\mathrm{CCl}_{4}$, cat AlBN, reflux, 1 h ; (ii) aniline ( 3.0 equiv), rt, 18 h .
and $\mathbf{3 d} \mathbf{-} Z$ ) in the reactions of $\mathbf{2 c}$ and $\mathbf{2 d}$ (entries 3 and 4). When we used amine nucleophiles such as pyrrolidine (entry 5 ) or aniline (entry 6) instead of water we obtained the corresponding $\beta$-phenyl aza-Baylis-Hillman adducts $\mathbf{3 e}$ and 3f, ${ }^{2 \mathrm{e}}$ respectively, in good yields ( $72-84 \%$ ).

The reaction was investigated with structurally similar compounds, $\mathbf{2 e}$ and $\mathbf{2 f}$ (Scheme 3). Cinnamyl alcohol $\mathbf{3 g}$ was obtained in $58 \%$ from the reaction of $\alpha$-methyl compound 2e presumably via the bromination at the benzylic position and the following $\mathrm{S}_{N} 2^{\prime}$ type substitution with water. It is interesting that $\beta$-methyl derivative 2 f produced butenolide 4 ( $57 \%$ ), ${ }^{9}$ which might be formed by the in situ lactonization of the corresponding intermediate $\gamma$-hydroxy ester $\mathbf{3 h}$.

In summary, we disclosed an efficient synthesis of $\beta$ -phenyl-substituted Baylis-Hillman and aza-Baylis-Hillman adducts starting from Baylis-Hillman adducts via the reaction sequence comprised of the Friedel-Crafts reaction, allylic bromination and nucleophilic substitution reaction. Further studies on the synthetic applications of $\beta$-phenyl Baylis-Hillman adducts are currently underway.

## Experimental Section

Typical procedure for the synthesis of 3a: A stirred mixture of 2a ( $252 \mathrm{mg}, 1.0 \mathrm{mmol}$ ), NBS ( $214 \mathrm{mg}, 1.2$ $\mathrm{mmol})$, AIBN $(17 \mathrm{mg})$ in carbon tetrachloride $(4 \mathrm{~mL})$ was heated to reflux for 1 h . After filtering off some solid materials and removal of solvent the residue was dissolved in aqueous DMSO $(3 \mathrm{~mL})$ and maintained $80^{\circ} \mathrm{C}$ for 1 h with stirring. After usual aqueous workup and column chromatographic purification process (hexanes/EtOAc, 6:1) we obtained 3a-Z (164 mg, 61\%) and 3a-E (57 mg, 21\%) as colorless oils. Other compounds were prepared similarly and the spectroscopic data of prepared compounds 3a-f and 4 are as follows.

Compound 3a-Z: : $^{3 \mathrm{e}} \mathbf{6 1 \%}$; colorless oil; IR (film) 3479, $1718,1435,1227,1038 \mathrm{~cm}^{-1} ;{ }^{1} \mathrm{H}$ NMR $\left(\mathrm{CDCl}_{3}, 300 \mathrm{MHz}\right) \delta$ $3.05(\mathrm{br} \mathrm{s}, 1 \mathrm{H}), 3.53(\mathrm{~s}, 3 \mathrm{H}), 5.60(\mathrm{~s}, 1 \mathrm{H}), 6.93(\mathrm{~s}, 1 \mathrm{H}), 7.23-$ 7.45 (m. 10H); ${ }^{13} \mathrm{C} \mathrm{NMR}\left(\mathrm{CDCl}_{3}, 75 \mathrm{MHz}\right) \delta 51.68,75.62$, 126.56, 127.99, 128.17, 128.34, 128.39, 128.51, 135.20, 135.25, 135.40, 140.92, 169.09 .

Compound 3a-E: 21\%; colorless oil; IR (film) 3510, 1697, $1250,1103 \mathrm{~cm}^{-1} ;{ }^{1} \mathrm{H}$ NMR $\left(\mathrm{CDCl}_{3}, 300 \mathrm{MHz}\right) \delta 3.75$ (s,



Scheme 3
$3 \mathrm{H}), 4.06(\mathrm{~d}, J=11.4 \mathrm{~Hz}, 1 \mathrm{H}), 5.88(\mathrm{~d}, J=11.4 \mathrm{~Hz}, 1 \mathrm{H})$, 7.23-7.43 (m, 10H), $7.97(\mathrm{~s}, 1 \mathrm{H}) ;{ }^{1} \mathrm{H}$ NMR $\left(\mathrm{CDCl}_{3}+\mathrm{D}_{2} \mathrm{O}\right.$, $300 \mathrm{MHz}) \delta 3.75(\mathrm{~s}, 3 \mathrm{H}), 5.87(\mathrm{~s}, 1 \mathrm{H}), 7.24-7.43(\mathrm{~m}, 10 \mathrm{H})$, $7.97(\mathrm{~s}, 1 \mathrm{H}) ;{ }^{13} \mathrm{C}$ NMR $\left(\mathrm{CDCl}_{3}, 75 \mathrm{MHz}\right) \delta 52.07,69.73$, 125.44, 127.26, 128.42, 128.69, 129.10, 129.23, 132.37, 134.20, 141.85, 142.67, 168.02; ESIMS $m / z 269\left(\mathrm{M}^{+}+1\right)$. Anal Calcd for $\mathrm{C}_{17} \mathrm{H}_{16} \mathrm{O}_{3}$ : C, 76.10; H, 6.01. Found: C, 76.34; H, 6.29.

Compound 3b-Z: ${ }^{\text {2a-c }} 60 \%$; colorless oil; IR (film) 3450, 1711, 1225, 1097, $1038 \mathrm{~cm}^{-1}$; ${ }^{1} \mathrm{H}$ NMR ( $\left.\mathrm{CDCl}_{3}, 300 \mathrm{MHz}\right) \delta$ $0.95(\mathrm{t}, J=7.2 \mathrm{~Hz}, 3 \mathrm{H}), 3.07(\mathrm{~d}, J=5.7 \mathrm{~Hz}, 1 \mathrm{H}), 4.01(\mathrm{q}, J=$ $7.2 \mathrm{~Hz}, 2 \mathrm{H}), 5.59(\mathrm{~d}, J=5.7 \mathrm{~Hz}, 1 \mathrm{H}), 6.94(\mathrm{~s}, 1 \mathrm{H}), 7.25-7.46$ ( $\mathrm{m}, 10 \mathrm{H}$ ).

Compound 3b-E: 8\%; colorless oil; IR (film) 3510, 1691, $1628,1246,1101 \mathrm{~cm}^{-1} ;{ }^{1} \mathrm{H}$ NMR ( $\left.\mathrm{CDCl}_{3}, 300 \mathrm{MHz}\right) \delta 1.23$ $(\mathrm{t}, J=7.2 \mathrm{~Hz}, 3 \mathrm{H}), 4.05(\mathrm{~d}, J=11.7 \mathrm{~Hz}, 1 \mathrm{H}), 4.13-4.26(\mathrm{~m}$, $2 \mathrm{H}), 5.87(\mathrm{~d}, J=11.7 \mathrm{~Hz}, 1 \mathrm{H}), 7.24-7.43(\mathrm{~m}, 10 \mathrm{H}), 7.96$ (s, $1 \mathrm{H}) ;{ }^{13} \mathrm{C}$ NMR $\left(\mathrm{CDCl}_{3}, 75 \mathrm{MHz}\right) \delta 14.05,61.11,69.72$, $125.41,127.20,128.38,128.68,129.11,129.16,132.72$, 134.31, 141.60, 142.84, 167.60; ESIMS $m / z 283\left(\mathrm{M}^{+}+1\right)$.

Compound 3c-Z: 53\%; colorless oil; IR (film) 3429, 1684, 1493, 1188, $1024 \mathrm{~cm}^{-1} ;{ }^{1} \mathrm{H}$ NMR ( $\left.\mathrm{CDCl}_{3}, 300 \mathrm{MHz}\right) \delta 1.80$ $(\mathrm{s}, 3 \mathrm{H}), 3.20(\mathrm{~d}, J=5.1 \mathrm{~Hz}, 1 \mathrm{H}), 5.57(\mathrm{~d}, J=5.1 \mathrm{~Hz}, 1 \mathrm{H})$, $6.93(\mathrm{~s}, 1 \mathrm{H}), 7.20-7.43(\mathrm{~m}, 10 \mathrm{H}){ }^{13} \mathrm{C}$ NMR $\left(\mathrm{CDCl}_{3}, 75\right.$ $\mathrm{MHz}) \delta 31.49,76.26,126.41,127.94,128.57$, 128.59, 128.65 (2C), 132.37, 135.46, 140.99, 144.88, 207.91; ESIMS $m / z 253\left(\mathrm{M}^{+}+1\right)$. Anal Calcd for $\mathrm{C}_{17} \mathrm{H}_{16} \mathrm{O}_{2}$ : C, 80.93; H, 6.39. Found: C, 80.78; H, 6.26.

Compound 3d-E: ${ }^{3 \mathrm{a}-\mathrm{d}} 61 \%$; colorless oil; IR (film) 3442, $2216,1495,1450 \mathrm{~cm}^{-1} ;{ }^{1} \mathrm{H}$ NMR $\left(\mathrm{CDCl}_{3}, 300 \mathrm{MHz}\right) \delta 2.66$ (br s, 1H), $5.46(\mathrm{~s}, 1 \mathrm{H}), 7.33-7.48(\mathrm{~m}, 9 \mathrm{H}), 7.74-7.78(\mathrm{~m}$, $2 \mathrm{H}) ;{ }^{13} \mathrm{C}$ NMR $\left(\mathrm{CDCl}_{3}, 75 \mathrm{MHz}\right) \delta 75.57,114.29,117.19$, 126.46, 128.81, 128.85, 128.91, 129.07 130.57, 132.94, 139.86, 142.68; ESIMS $m / z 236$ ( $\mathrm{M}^{+}+1$ ).

Compound 3e-Z: 61\%; colorless oil; IR (film) 2951, 1726, 1493, 1238, $1092 \mathrm{~cm}^{-1}$; ${ }^{1} \mathrm{H}$ NMR ( $\left.\mathrm{CDCl}_{3}, 300 \mathrm{MHz}\right) \delta 1.76-$ $1.80(\mathrm{~m}, 4 \mathrm{H}), 2.42-2.45(\mathrm{~m}, 2 \mathrm{H}), 2.64-2.67(\mathrm{~m}, 2 \mathrm{H}), 3.51(\mathrm{~s}$, $3 \mathrm{H}), 4.14(\mathrm{~s}, 1 \mathrm{H}), 7.16(\mathrm{~s}, 1 \mathrm{H}), 7.21-7.42(\mathrm{~m}, 10 \mathrm{H}) ;{ }^{13} \mathrm{C}$ NMR $\left(\mathrm{CDCl}_{3}, 75 \mathrm{MHz}\right) \delta 23.51,51.52,53.20,73.65$, $127.89,128.05,128.15,128.21,128.24,128.26,133.19$, 135.99, 139.98, 140.79, 169.44; ESIMS $m / z 322\left(\mathrm{M}^{+}+1\right)$.

Compound 3e-E: 11\%; colorless oil; IR (film) 2951, 1716, $1493,1238,1092 \mathrm{~cm}^{-1} ;{ }^{1} \mathrm{H}$ NMR ( $\left.\mathrm{CDCl}_{3}, 300 \mathrm{MHz}\right) \delta 1.72-$ $1.76(\mathrm{~m}, 4 \mathrm{H}), 2.46-2.55(\mathrm{~m}, 4 \mathrm{H}), 3.73(\mathrm{~s}, 3 \mathrm{H}), 4.68(\mathrm{~s}, 1 \mathrm{H})$, 7.13-7.25 (m, 3H), 7.33-7.42 (m, 5H), 7.50-7.53 (m, 2H), $7.69(\mathrm{~s}, 1 \mathrm{H}) ;{ }^{13} \mathrm{C}$ NMR $\left(\mathrm{CDCl}_{3}, 75 \mathrm{MHz}\right) \delta 23.50,51.83$, 52.86, 66.86, 126.75, 127.73, 128.19, 128.21 (2C), 129.48, 135.20, 135.48, 140.61, 141.53, 167.95; ESIMS m/z 322 $\left(\mathrm{M}^{+}+1\right)$.
Compound 3f-Z: 54\%; pale yellow oil; IR (film) 3446, $1699,1680,1230 \mathrm{~cm}^{-1} ;{ }^{1} \mathrm{H}$ NMR ( $\left.\mathrm{CDCl}_{3}, 300 \mathrm{MHz}\right) \delta 3.53$ $(\mathrm{s}, 3 \mathrm{H}), 4.27(\mathrm{br} \mathrm{s}, 1 \mathrm{H}), 5.41(\mathrm{~s}, 1 \mathrm{H}), 6.66-6.74(\mathrm{~m}, 3 \mathrm{H}), 6.92$ (s, 1H), 7.07-7.45 (m, 12H); ${ }^{13} \mathrm{C}$ NMR $\left(\mathrm{CDCl}_{3}, 75 \mathrm{MHz}\right) \delta$ 51.72, 61.66, 113.52, 118.03, 127.70, 127.99, 128.12, $128.15,128.28,128.82,129.19,133.85,134.29,135.48$, 139.89, 146.62, 169.32; ESIMS m/z $344\left(\mathrm{M}^{+}+1\right)$. Anal Calcd for $\mathrm{C}_{23} \mathrm{H}_{21} \mathrm{NO}_{2}: \mathrm{C}, 80.44 ; \mathrm{H}, 6.16 ; \mathrm{N}, 4.08$. Found: C, 80.67;

## H, 6.05; N, 3.93.

Compound 3f-E: 30\%; pale yellow oil; IR (film) 3423, $1682,1493,1188 \mathrm{~cm}^{-1} ;{ }^{1} \mathrm{H}$ NMR $\left(\mathrm{CDCl}_{3}, 300 \mathrm{MHz}\right) \delta 3.70$ $(\mathrm{s}, 3 \mathrm{H}), 5.17(\mathrm{br} \mathrm{s}, 1 \mathrm{H}), 5.91(\mathrm{~s}, 1 \mathrm{H}), 6.37-6.41(\mathrm{~m}, 2 \mathrm{H})$, 6.62-6.68 (m, 1H), 7.02-7.09 (m, 2H), 7.22-7.43 (m, 10H), $7.96(\mathrm{~s}, 1 \mathrm{H}) ;{ }^{13} \mathrm{C}$ NMR $\left(\mathrm{CDCl}_{3}, 75 \mathrm{MHz}\right) \delta 51.88,53.99$, $113.42,117.59,126.44,127.05,128.44,128.74,128.91$, 129.08, 129.21, 132.17, 134.82, 141.20, 141.72, 146.82, 167.26; ESIMS m/z $344\left(\mathrm{M}^{+}+1\right)$.

Compound 4: ${ }^{\text {cc }} 57 \%$; white solid, mp $90-91^{\circ} \mathrm{C}$; IR (film) 1732, 1450, 1167, $1047 \mathrm{~cm}^{-1} ;{ }^{1} \mathrm{H}$ NMR ( $\left.\mathrm{CDCl}_{3}, 300 \mathrm{MHz}\right) ~ \delta$ $5.23(\mathrm{~d}, J=1.8 \mathrm{~Hz}, 2 \mathrm{H}), 6.38(\mathrm{t}, J=1.8 \mathrm{~Hz}, 1 \mathrm{H}), 7.44-7.55$ (m, 5H).

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