

Synthetic studies on the preparation of nucleoside 5'-H-phosphonate monoesters under the Mitsunobu reaction conditions

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Dedicated to Professor Harry Lönnberg on the occasion of his 60th birthday

Abstract

A reaction of suitably protected nucleosides with phosphonic acid in the presence of diethyl azodicarboxylate and triphenylphosphine in pyridine provided in good yields the corresponding 5'-H-phosphonate monoesters.

Keywords: H-Phosphonate monoesters, phosphonic acid, the Mitsunobu reaction

Introduction

The Mitsunobu reaction is a versatile and widely used method in organic synthesis because of its scope, stereospecificity, and mild experimental conditions.^{1,2} Typically, it involves the condensation of an acidic pronucleophile (HNu, e.g. carboxylic acids, phenols, imides, etc.) and an alcohol (ROH), promoted by triphenylphosphine (TPP) and diethyl azodicarboxylate (DEAD), to afford the product RNu, along with diethoxycarbonylhydrazine and triphenylphosphine oxide. This redox mediated condensation is frequently used for the formation of C-O, C-N, C-S, or C-C bonds in a stereospecific manner, in the presence of a wide range of functional groups.¹ Due to known separation problems, many recent efforts in the Mitsunobu reaction research have been directed toward modifying triphenylphosphine and azodicarboxylate reagents^{3,4} to facilitate purification procedures, or to develop special, usually chromatography-free, separation strategies.^{4,5}

As part of our program in developing synthetic methods for biologically important phosphates and their analogues based on H-phosphonate chemistry,^{6,7} we became interested in the Mitsunobu reaction for two reasons. Firstly, it permits a condensation to be carried out under mild, virtually neutral conditions, and secondly, it involves activation of a hydroxyl function of an alcohol, rather than conversion of a pronucleophile into a reactive species. The latter aspect

seemed particularly appealing for a stereospecific synthesis of phosphate analogues using P-chiral phosphorus precursors,^{7,8} as it would alleviate a potential problem of epimerization at the phosphorus center.

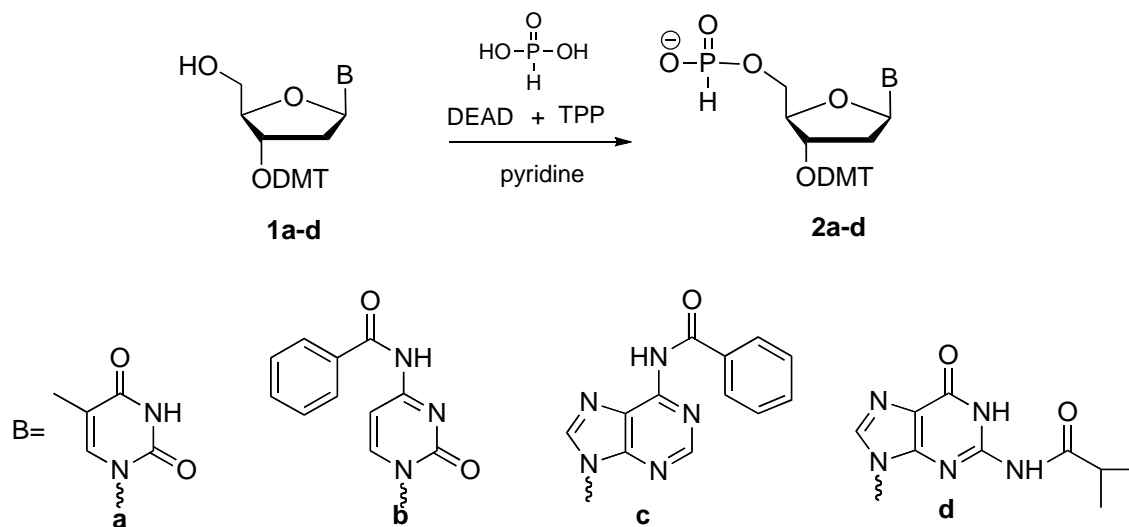
Owing to the importance and widespread use of the Mitsunobu reaction, its mechanism has been extensively investigated.^{1,9,10} Although, inversion of configuration in the hydroxylic component is typically observed,¹ the mechanism turned out to be rather complex. The first step of the reaction is an irreversible addition of TPP to DEAD to form the Morrison-Brunn-Huisgen betaine,¹¹ which may cascade into a product (or by-products) in several ways, depending on reactivity of the alcohol and a pKa value of the acidic pronucleophile used.¹² Recent studies on lactonization of sterically hindered alcohols showed that the Mitsunobu reaction can occur with retention of configuration,¹⁰ and the density functional investigations¹³ supported the view that the Mitsunobu reaction is fundamentally capable to affording products either with inversion or retention of configuration. Thus, Mitsunobu type of reactions, particularly those involving new types of pronucleophiles, have to be carefully scrutinized for a mechanism operating.

Concerning preparation of phosphates or their analogues, Mitsunobu *et al.*^{14,15} used TPP and DEAD as an activating system for phosphorylation of nucleosides with dibenzyl phosphate. The reaction gave acceptable yields of pyrimidine nucleoside phosphates (40-70%), but completely failed for adenosine and guanosine. More recently, the Mitsunobu reaction conditions were investigated for the purpose of C-phosphonate synthesis using methyl- and benzylphosphonate monoesters.¹⁶ Although a variety of acidic pronucleophiles have been investigated,¹ there has been no report, to our knowledge, on the formation of H-phosphonate mono- and H-phosphonate diesters *via* the Mitsunobu coupling reaction.

In this paper we present our preliminary synthetic investigation on the preparation of nucleoside 5'-H-phosphonates under the Mitsunobu reaction conditions, and some ³¹P NMR studies on the formation of H-phosphonate diesters in the presence of DEAD and TPP.

Results and Discussion

To check a possibility of formation of H-phosphonate monoesters under the Mitsunobu reaction conditions, we reacted 3'-O-protected thymidine derivative **1a** as a model 1° alcohol with phosphonic acid in the presence of DEAD and TPP under various experimental conditions. The reaction was very sensitive, in terms of the reaction time and purity of the product formed (³¹P NMR spectroscopy analysis), to the ratio of the reactants, solvents, and the bases present. The best results were obtained when phosphorylation of nucleoside **1a** was carried out in pyridine in the presence of 2 equiv. each of DEAD and TPP, and by using 2 equiv. of phosphonic acid (Scheme 1). These conditions secured a quantitative formation of the desired nucleoside 5'-H-phosphonate **2a** within ca 20 min (³¹P NMR analysis), and on a preparative run, compound **2a** was isolated in 85% as a triethylammonium salt.



DMT = 4,4'-Dimethoxytrityl; DEAD = diethyl azodicarboxylate; TPP = triphenylphosphine

Scheme 1

The above protocol was successfully applied to the other common nucleosides, namely to cytosine (**1b**), adenosine (**1c**) and guanosine (**1d**), derivatives, to produce the corresponding 5'-H-phosphonate monoesters **2b-d** in good isolated yields (74-84%).

One should emphasise here a relatively high yield of phosphorylation of adenosine derivative **1c** (74%) under the developed reaction conditions. Purine nucleosides, and adenosine in particular, are known to be highly susceptible to intramolecular cyclization forming, *via* a nucleophilic attack of nitrogen N-3 of the purine base on the 5'-carbon atom, *N*³,5'-cyclonucleosides, when the 5'-OH function is converted into a good leaving group.^{15,17} For this reason, usually only pyrimidine nucleosides can be phosphorylated (or acylated) under the Mitsunobu reaction conditions, while purine nucleosides either cannot be used as substrates^{14,15} or give mediocre yields.¹⁶

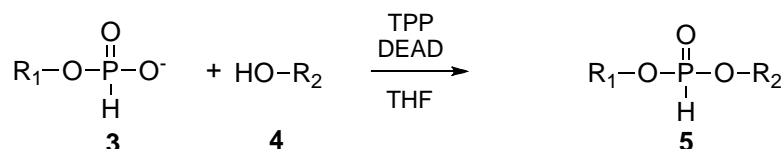
Although the Mitsunobu reaction of alcohols with carboxylic acids is assumed to proceed with the intermediacy of the corresponding alkoxyphosphonium salts, it is well documented that also carboxylic acid anhydrides can be formed under the reaction conditions.¹⁸ Since H-pyrophosphonate in pyridine is known to act as a phosphorylating agent for nucleosides,¹⁹ we could not exclude a possibility that this can be a reactive species involved in the reaction investigated. To verify this assumption, H-pyrophosphonate (generated *in situ* from phosphonic acid and pivaloyl chloride in pyridine)¹⁹ was allowed to react with nucleoside **1a** in pyridine. Unfortunately, the phosphorylation of **1a** was significantly slower (50% conversion after 4 h) than that under the Mitsunobu conditions, and thus it is unlikely that phosphorylation of nucleosides with phosphonic acid in the presence of DEAD and TPP involved as a kinetically significant intermediate, H-pyrophosphonate.

When the phosphorylation of nucleoside **1a** under the Mitsunobu conditions (Scheme 1) was carried out in the presence of triethylamine, the reaction became significantly slower (few hours for the completion), and for very strong bases, such as 1,8-diazabicyclo[5.4.0]undec-7-ene (DBU), no product formation was observed even after prolonged reaction time (overnight). These experiments are consistent with the observed dependency of the Mitsunobu reaction on a pKa value of the acidic pronucleophiles,¹² (in our case, acidities of the conjugated acids) and may point to the importance of protonation of the Morrison-Brunn-Huisgen betaine.¹¹

³¹P NMR experiments on the formation of H-phosphonate diesters

We carried out also some preliminary ³¹P NMR experiments on the formation of H-phosphonate diesters under the Mitsunobu coupling conditions (Scheme 2).

To this end we reacted H-phosphonate monoesters **3a,b** (triethylammonium salt, 0.1 mmol) with nucleoside **4b** (0.15 mmol) in the presence of DEAD (0.15 mmol) and TPP (0.15 mmol) in tetrahydrofuran (2 mL). The reactions were clean, went to completion within 2 h, and the produced H-phosphonate diesters **5b** and **5d**, respectively, were identical (³¹P NMR data) to those prepared from H-phosphonates **3** and alcohols **4** using pivaloyl chloride as a condensing agent.²⁰ For the analogous reactions with ethanol, larger excess of DEAD, TPP and the alcohol (3 equiv. each) had to be used, apparently due to competing side reactions of the activated ethanol. In contrast to phosphorylation of nucleosides **1** with phosphonic acid (Scheme 1), the condensations of H-phosphonate monoesters **3** depicted in Scheme 2 did not work well in pyridine. For reasons to be identified yet, all the reactions in Scheme 2 proceeded only to 50% completion in pyridine, even after prolonged reaction time (overnight).



3a, R₁ = ethyl

3b, R₁ = 5'-O-dimethoxytritylthymidin-3'-yl

4a, R₂ = ethyl

4b, R₂ = 3'-O-dimethoxytritylthymidin-5'-yl

5a, R₁ = R₂ = ethyl

5b, R₁ = ethyl, R₂ = 3'-O-dimethoxytritylthymidin-5'-yl

5c, R₁ = 5'-O-dimethoxytritylthymidin-3'-yl, R₂ = ethyl

5d, R₁ = 5'-O-dimethoxytritylthymidin-3'-yl, R₂ = 3'-O-dimethoxytritylthymidin-5'-yl

Scheme 2

In conclusion, we developed an efficient protocol for the synthesis of nucleoside 5'-H-phosphonate monoesters by reacting suitably protected nucleosides with triethylammonium salt of H-phosphonic acid in pyridine under the Mitsunobu reaction conditions. The method works

well both for pyrimidine and purine nucleosides and can be considered and as an alternative procedure¹⁹⁻²¹ for the preparation of H-phosphonate monoesters. ³¹P NMR studies shown that also H-phosphonate diesters can be efficiently formed under the Mitsunobu coupling conditions.

Further synthetic and mechanistic studies on the Mitsunobu reaction involving H-phosphonates as acidic pronucleophiles are in progress in this Laboratory.

Experimental Section

General Procedures. Pyridine was distilled from CaH₂ and stored over molecular sieves 4Å. THF was distilled directly before the use from sodium/benzophenone. CH₂Cl₂ was distilled directly before the use from P₂O₅. Phosphonic acid and pivaloyl chlorides were commercial grades from Aldrich. A suitably protected nucleosides **1a-d** were prepared analogously to the published procedures.²²

Progress of the reactions was monitored by thin layer chromatography (TLC) using silica gel-coated plates with a fluorescent indicator (Merck, Silica gel 60) and chloroform: methanol (9:1, v/v) as an eluent. Column chromatography was performed on silica gel (Grace Davison, Davsil, 0.035-0.070 mm). After chromatography, the fractions containing the desired products were pooled, evaporated, and dried under vacuum for 12 hours. ¹H-, ¹³C-, and ³¹P NMR spectra were recorded on Bruker Avance 400MHz instrument. Chemical shifts are reported in ppm, relative to TMS (¹H-, ¹³C-NMR) and 85% aq. H₃PO₄ (³¹P NMR). High resolution mass spectra (HRMS) were recorded on Bruker MicrOTOF ESI-TOF mass spectrometer.

A general procedure for the preparation of nucleoside 5'-H-phosphonates **2a-d**

To a solution of a suitably protected nucleoside **1a-d** (0.5 mmol) in pyridine (2 mL) was added phosphonic acid (1 mmol). The reaction mixture was made anhydrous by repeated evaporation of the added pyridine (3 x 2 mL) and the residue was dissolved in the same solvent (10 mL). After addition of triphenylphosphine (1 mmol) and diethyl azodicarboxylate (1 mmol) the reaction mixture was stirred until complete disappearance of the starting material **1** (ca 20-30 min, TLC analysis). The solvent was removed under reduced pressure, the residue dissolved in dichloromethane, and purified by a silica gel column chromatography (a stepwise gradient of methanol and triethylamine in dichloromethane, 0-10% and 0.02-0.5%, respectively). Compounds **2a-d** (purity > 98%, ¹H NMR spectroscopy) were obtained as white, amorphous solids.

3'-O-(4,4-Dimethoxytrityl)thymidin-5'-yl H-phosphonate, triethylammonium salt (2a). Yield: 302 mg, 85%. ¹H NMR (400 MHz, CDCl₃): δ 7.71 (s, H₆, 1H), 7.46-7.14 (m, Ar_{DMT}, 9H), 6.82 (m, H_{3DMT}, H_{5DMT}, H_{3'DMT}, H_{5'DMT}, 4H), 6.75 (d, ¹J_{P-H} = 614.9Hz, 1H), 6.48 (m, H_{1'}, 1H), 4.37 (m, H_{3'}, 1H), 3.89 (m, H_{4'}, 1H), 3.85-3.76 (m, 2×OCH₃, H_{5'}, 7H), 3.52 (m, H_{5''}, 1H), 2.96 (q, ³J = 7.3 Hz, N(CH₂CH₃)₃, 6H), 1.91 (s, 5CH₃, 3H), 1.82 (m, H_{2'}, H_{2''}, 2H), 1.24 (t, ³J = 7.3 Hz, N(CH₂CH₃)₃, 9H). ¹³C NMR (100 MHz, CDCl₃): δ 164.1(s), 158.8 (s), 150.8 (s), 145.3

(s), 136.7 (s), 136.5 (d), 130.4 (d), 128.5 (d), 128.2 (d), 127.2 (d), 113.52 (d), 113.50 (d), 111.3 (d), 87.4 (d), 85.5 (d, $^3J_{\text{POCC}} = 8.1$ Hz, C4'), 85.0 (s), 75.5 (d), 63.8 (d, $^2J_{\text{POC}} = 4.4$ Hz, C5'), 55.4 (q), 45.6 (t), 39.6 (t), 12.6 (q), 8.8 (q). ^{31}P NMR (162 MHz, CDCl_3): $\delta = 4.78$ ppm ($^1J_{\text{PH}} = 615$ Hz). HRMS: m/z 607.1821 ($[\text{M-TEAH}]^-$, $\text{C}_{31}\text{H}_{32}\text{N}_2\text{O}_9\text{P}^-$ calcd. 607.1851).

3'-O-(4,4-Dimethoxytrityl)-N⁴-benzoyldeoxycytidin-5'-yl H-phosphonate, triethylammonium salt, triethylammonium salt (2b). Yield: 336 mg, 84%. ^1H NMR (400 MHz, CDCl_3): δ 8.44 (d, $^3J = 7.4$ Hz, H3, 1H), 7.88 (m, H2_{Bz}, H6_{Bz}, 2H), 7.60-7.19 (m, DMT, Bz, H4, 15H), 6.83 (m, H3_{DMT}, H5_{DMT}, H3'_{DMT}, H5'_{DMT}, 4H), 6.70 (d, $^1J_{\text{P-H}} = 615.6$ Hz, 1H), 6.50 (m, H1', 1H), 4.37 (m, H3', 1H), 3.78 (m, 2×OCH₃, H5', 7H), 3.76 (m, H4', 1H), 3.36 (m, H5'', 1H), 3.02 (q, $^3J = 7.3$ Hz, N(CH₂CH₃)₃, 6H), 2.43 (m, H2'', 1H), 1.87 (m, H2', 1H), 1.28 (t, $^3J = 7.3$ Hz, N(CH₂CH₃)₃, 9H). ^{13}C NMR (100 MHz, CDCl_3): δ 162.0 (s), 158.7 (s), 145.7(s), 145.2(s), 136.5 (s), 136.4 (s), 133.1 (d), 130.31 (d), 130.26 (d), 129.0 (d), 128.4 (d), 128.0 (d), 127.6 (d), 127.1 (d), 113.4 (d), 96.6, 87.7, 87.3 (s), 86.3 (d, $^3J_{\text{POCC}} = 8.0$ Hz, C4') 75.3, 63.4 (d, $^3J_{\text{POC}} = 3.9$ Hz, C5'), 55.3 (q), 45.5 (t), 41.4 (t), 8.6 (q). ^{31}P NMR (162 MHz, CDCl_3): $\delta = 4.57$ ppm ($^1J_{\text{PH}} = 616$ Hz). HRMS: m/z 696.2143 ($[\text{M-TEAH}]^-$, $\text{C}_{37}\text{H}_{35}\text{N}_3\text{O}_9\text{P}^-$ calcd. 696.2116).

3'-O-(4,4-Dimethoxytrityl)-N⁶-benzoyldeoxyadenosin-5'-yl H-phosphonate, triethylammonium salt (2c). Yield: 304 mg, 74%. ^1H NMR (400 MHz, CDCl_3): δ 9.14 (bs, N6H, 1H), 8.80 and 8.70 (2×s, H2 and H8, 2H), 8.01 (m, H2_{Bz}, H6_{Bz}, 2H), 7.62-7.20 (m, DMT, Bz, 13H), 6.85 (m, H3_{DMT}, H5_{DMT}, H3'_{DMT}, H5'_{DMT}, 4H), 6.74 (d, $^1J = 616.9$ Hz, P-H, 1H), 6.70 (m, H1', 1H), 4.52 (m, H3', 1H), 3.94 (m, H4', 1H), 3.86-3.77 (m, H5', 2×OCH₃, 7H), 3.53 (m, H5'', 1H), 2.99 (q, $^3J = 7.3$ Hz, N(CH₂CH₃)₃, 6H), 2.44 (m, H2', 1H), 2.11 (m, H2'', 1H), 1.23 (t, $^3J = 7.3$ Hz, N(CH₂CH₃)₃). ^{13}C NMR (100 MHz, CDCl_3): δ 165.1 (s), 159.0 (s), 152.7 (s), 152.3 (s), 149.5 (s), 145.3(s), 142.6 (d), 136.5 (s), 134.1(s), 132.9 (d), 130.47 (d), 130.45 (d), 129.1 (d), 128.5 (d), 128.3 (d), 128.1 (d), 127.3 (d), 123.2 (d), 113.6 (d), 87.6 (s), 86.3 (d, $^3J_{\text{POCC}} = 7.4$ Hz, C4'), 84.5 (d), 75.8 (d), 63.9 (d, $^2J_{\text{POC}} = 4.3$ Hz, C5'), 55.5 (q), 45.6 (t), 40.8 (t), 8.8 (q). ^{31}P NMR (162 MHz, CDCl_3): $\delta = 4.70$ ppm ($^1J_{\text{PH}} = 618$ Hz). HRMS: m/z 720.2264 ($[\text{M-TEAH}]^-$, $\text{C}_{38}\text{H}_{35}\text{N}_5\text{O}_8\text{P}^-$ calcd. 720.2229).

3'-O-(4,4-Dimethoxytrityl)-N²-isobutyryldeoxyguanosin-5'-yl H-phosphonate, triethylammonium salt (2d). Yield: 338 mg, 84%. ^1H NMR (400 MHz, CDCl_3): δ 12.7 (s, NH, 1H), 12.3 (s, NH, 1H), 7.64 (s, H8, 1H), 7.45-7.17 (m, DMT, 9H), 6.80 (m, H3_{DMT}, H5_{DMT}, H3'_{DMT}, H5'_{DMT}, 4H), 6.71 (d, $^1J_{\text{P-H}} = 620.0$ Hz, 1H), 6.15 (m, H1', 1H), 4.34 (m, H3', 1H), 3.91 (m, H4', 1H), 3.85 (m, H5', 1H), 3.75 (s, 2×OCH₃, 6H), 3.67 (m, H5'', 1H), 2.96-2.82 (m, (CH₃)₂CHibu, N(CH₂CH₃)₃, H2'', 8H), 1.64 (m, H2', 1H), 1.17-1.11 (m, (CH₃)₂CHibu, N(CH₂CH₃)₃, 15H). ^{13}C NMR (100 MHz, CDCl_3): δ 181.0 (s), 158.7 (s), 155.9 (s), 148.5 (s), 148.1 (s), 145.0 (s), 139.1 (d), 136.1 (s), 130.1(d), 128.1 (d), 128.0 (d), 127.0 (d), 122.8 (d), 113.3 (d), 87.5 (s), 87.3 (d), 85.7 (d, $^3J_{\text{POCC}} = 8.4$ Hz, C4'), 75.0 (d), 63.9 (d, $^2J_{\text{POC}} = 3.8$ Hz, C5'), 55.2 (q), 45.4 (q), 37.7 (t), 35.2 (d), 19.3 (q), 18.9 (q), 8.5 (q). ^{31}P NMR (162 MHz, CDCl_3): $\delta = 4.93$ ppm ($^1J_{\text{PH}} = 622$ Hz). HRMS: m/z 702.2320 ($[\text{M-TEAH}]^-$, $\text{C}_{35}\text{H}_{37}\text{N}_5\text{O}_9\text{P}^-$ calcd. 702.2334).

Acknowledgements

Financial support from the Swedish Research Council is gratefully acknowledged.

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