Investigation of H-Bond on Fluorescence Changes in
Benzene Derivations and Different Acceptor Systems

Nursel Acar BAYRI, Özgül KOÇAK

Department of Chemistry, Faculty of Science, Ege University,
Bornova 35100, İzmir-TURKEY

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In this study, benzene derivatives of phenol and naphtol were selected as donor systems and mixed with dioxane and TEA (triethylamine), which were chosen as acceptors. H-bond formation in the phenol-triethylamine binary system was investigated by both UV absorption and emission spectroscopies. The changes in the absorption and emission spectra by H-bond formation and the equilibrium of the H-bonded complexes were studied at both the ground and excited states. In addition, equilibrium constants at different temperatures and thermodynamic properties related to equilibrium (enthalpy, ferre energy change and entropy values of H-bond formation) were calculated. A shift to longer wavelengths and differences in absorption and fluorescence intensities in the absorption and emission spectra were observed. Moreover, H-bond formation in various solvents with different dielectric constants was investigated. Changes in entropy related to the formation of hydrogen bonded complexes generally had negative values at both ground and excited states. These values were observed to increase with the increase in the dielectric constants of the solvent systems; therefore, H-bonded complexes grew more stable with the increase in the dielectric constants of the solvent systems.

Introduction

The examination of hydrogen bond formation by spectroscopic methods in biologically important benzene derivatives (phenol, naphtol, and prene type compounds) began in 1950. The spectroscopic investigation (UV, IR and NMR) of hydrogen bond formation of some purine and primidine systems was carried out by W. Wagner et al.\(^1\), whereas Graham et al.\(^2\) investigated H-bond formation in pridazin and benzofenon with ethylalcohol.

A number of studies on H-bond formation in polar and apolar solvent have been carried out. Mizushima et al.\(^3\) examined H-bond complexes formed by carbonyl bases in alcoholic or aqueous media. Tsubomura\(^4\) and Nagakura\(^5\) investigated acetates by examining their electronic transitions. In addition, George C. Pimentel\(^6\) suggested that hydrogen bond complexes formed in aqueous media were stronger than those formed in methanol. H-bonds, formed by substituted phenols and ethanol in cyclohexane, ether or other solvents, were examined by D.L. Gerrard\(^7\) by absorption spectroscopy.

Melvin et al.\(^8\) determined formation enthalpies and equilibrium constants by examining H-bond formation between phenols and amids in carbontetrachloride.
Sabura Nagakura\textsuperscript{9} investigated complexes formed by phenols and acetate esters in apolar solvents by UV spectroscopy, and determined their thermodynamic parameters.

Simule-emission spectroscopic examination of H-bond complex formed by phenol-water was recently carried out by Takayuki Ebata et al.\textsuperscript{10}. H-bond formation by proton transfer of aromatic alcohols in non-aqueous solvents was investigated by Hasselbacher et al.\textsuperscript{11} with the “Time-Resolved Fluorescence” method.

Hiroaki Baba et al.\textsuperscript{12} investigated the influence of H-bond formation by phenol and napthol with various proton acceptors in terms of their electronic spectra. H. Baba\textsuperscript{14}, G. C. Pimental\textsuperscript{8}, H. Tsubomure\textsuperscript{4}, and G. J. Brealey et al.\textsuperscript{2} have suggested some theoretical parameters concerning the work mentioned above. However, limited research has been conducted on the effect of solvents and dipole moments by steady-state fluorescence.

Hydrogen bonding effects on electronic spectra will give useful information about the nature of the hydrogen bond and also the nature of the electronic transitions.

Since the molecular absorption and fluorescence spectra are directly related to the electronic structure of the molecule, H-bonding would effect the UV and Vis absorption and fluorescence spectra.

As a result of these investigations, it has been recognized that the phenomenon of the hydrogen bonding effect on electronic spectra is useful in several respects. It has been suggested that thermodynamic quantities for some hydrogen bonding equilibria may be determined through this phenomenon. Moreover, when a polar substance is used as solvated, and anomaly in the solvent effect on electronic spectra occurs, which could be interpreted in terms of the hydrogen bonding effect\textsuperscript{12}.

**Experimental**

Phenol and naphtal were selected as donors while dioxane (Sigma HPLC grade 99.9\%) and triethylamine (Sigma, pure) were used as acceptors. Solvent systems chosen were n-hexane (Sigma 99\%), n-heptane (Sigma, 99\%), isoctane (Merck, pure) and cyclohexane (Sigma 99\%).

All absorbance measurements were made with a Shimadzu UV-160A, UV-Visible Recording Spectrophotometer. For fluorescence measurements, a Fica 55 spectrofluorimeter differential absolute was used. Dielectric constant measurements were made with a Dekameter DKO3 Nach. Temperature was controlled throughout the experiment by a Poly Science 901 refrigerated circulator (± 0.02 Temperature Stability).

**The Determination of H-bond formation Constants From Absorption and Fluorescence Spectra**

Ground state equilibrium constants can be calculated by using absorption spectral data in the equation derived below\textsuperscript{8}:

$$DA + A \rightleftharpoons DHA$$

where (DH) is the proton donor, A is the proton acceptor, and (DHA) is the hydrogen bonded complex.

For example, if the equilibrium between phenol-dioxane,

![Figure 1. The equilibrium between phenol and dioxane.](figure1.png)
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or if the equilibrium between Phenol-Triethylamine are considered, then

\[
\text{Phenol + TEA} \rightarrow \text{Ph–O}^- \cdots \text{H–N}^+ \rightarrow \frac{1}{\text{C}_2\text{H}_5} \quad \frac{1}{\text{C}_2\text{H}_5}
\]

\[C_d : \text{initial concentration of the donor}\]
\[C_a : \text{initial concentration of the acceptor}\]
\[C_c : \text{concentration of H-bonded complex}\]

Therefore, the equilibrium constant is

\[K = \frac{C_c}{(C_d - C_c)(C_a - C_c)} \quad \frac{1}{\Delta A} = \frac{1}{\Delta A_{\text{max}}} + \frac{1}{K_g \Delta A_{\text{max}}} \cdots \frac{1}{C_a}
\]

where \(\Delta A\) is the change in optical density of the donor in the presence of \(C_a\).

\(\Delta A_{\text{max}}\) is the maximal change in absorbance and \(K_g\) is the association constant of the ground state.

If the autoassociations are ignored, the graph of \(1/\Delta A\) vs \(1/C_a\) gives a linear change in which \(K_g\) and \(\Delta A_{\text{max}}\) can be found from the slope and intercept value.

When light at a certain wavelength is directed on a solution containing donor and acceptor system, the following may occur:

\[
\text{(DH*)} + A \quad \frac{k_1}{k_r} \quad \text{(DHA*)}
\]

\[
\text{DH} + A \quad \frac{K_g}{\text{DHA}}
\]

The asterisk indicates the excited states, while \(k_f\) and \(k_d\) radiative and radiationless decay rates for (DH*) as are \(k_{f'}\) and \(k_{d'}\) (DHA*).

Rate or equilibrium constant

1. \(\text{DH} + h\nu_{abs} \rightarrow (\text{DH*})\) \quad \{ \text{total } I_{abs}\)
2. \(\text{DHA} + h\nu_{abs} \rightarrow \text{DHA*}\)
3. \((\text{DH*}) + A \rightarrow (\text{DHA*})\) \quad \[K^* = \frac{k_{f'}}{k_{r'}} \quad \frac{|\text{DHA*}|}{|\text{DHA}|}\]
4. \((\text{DH*}) \rightarrow (\text{DH}) + h\nu_f;\) \quad \[k_f \quad |\text{DH*}|\]
5. \((\text{DHA*}) \rightarrow \text{DHA} + h\nu_f;\) \quad \[k_{f'} \quad |\text{DHA*}|\]
6. \((\text{DH*}) \rightarrow (\text{DH}) + \text{heat};\) \quad \[k_d \quad |\text{DH*}|\]
7. \((\text{DHA*}) \rightarrow (\text{DHA}) + \text{heat};\) \quad \[k_{d'} \quad |\text{DHA*}|\]

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\[ \frac{I - 1}{|A|} = \alpha K^* - K^* \frac{1}{I_o} \]

This equation was derived\(^{15}\) and the graph obtained with \((I/I_o) - 1/|A|\) vs \(I/I_o\), yielding a straight line, and equilibrium constants for the excited state can be found from the slope.

In this equation, \(I_o\) and \(I\) are the fluorescence intensities of the free and hydrogen-bonded phenols.

\[ \alpha = \frac{\phi'}{\phi}\]  

\(\phi'\) and \(\phi\) are respectively the quantum efficiencies of fluorescence  

for the excited proton donor and the excited complex.

Preliminarily, the donor solution was optimized by recording absorption and emission at \(\lambda_{\text{max}}\) for a series of selected donor solutions in varying concentrations. These measurements were made in media with no dimerisation. The absorption and emission spectra of the donor solution were investigated after the addition of various amounts of acceptor. Dielectric constants were measured for solution and the solvent separately. All measurements were repeated for different solvent and acceptor systems at different temperatures.

If the formation rate of the excited state at equilibrium is much slower than the rate of fluorescence, this may help to calculate the ground state equilibrium constant. This constant is in agreement with that obtained from absorption spectra. In the case of slower fluorescence radiation, the equilibrium constant for the excited state can be obtained\(^{16}\).

**Determination of \(\Delta H\), \(\Delta G\) and \(\Delta S\) of H-Bond Formation**

Change in free energy, \(\Delta G\), is defined by the following equation:

\[ \Delta G = -RT \ln K \]

Change in enthalpy, \(\Delta H\), is related to the equilibrium constant \((K)\) and temperature \((T)\) by the following equation:

\[ \Delta H = -R \left[ \frac{d \ln K}{d (1/T)} \right] \]

The \(\ln K\) values plotted against \(1/T\) were found to fall on a straight line. From the slope of this line, determined by the method of least squares, the value of the change in enthalpy, \(\Delta H\), was derived, is of course to be associated with the ground state of a given molecule, so that it may be denoted by \(\Delta H_g\). The change in enthalpy of the hydrogen bond in the excited state is in general different from that in the ground state, and the former will therefore be denoted by \(\Delta H_e\). The hydrogen bonding frequency shift is given by\(^{12}\)

\[ \delta \nu = \Delta H_e - \Delta H_g \]

or

\[ \Delta H_e = \Delta H_g + \delta \nu \]

\(\Delta H_{e(calc)}\) values in Table 2 are calculated according to this equation.

\[ \delta \nu = \nu_b - \nu_f \]

\(\nu_b \rightarrow \max \) absorbance frequency shift of a free molecule.
\( \nu_f \rightarrow \) max absorbance frequency shift of a bonded molecule.

\( \Delta S \), related to H-bond formation, is calculated with the equation given below:

\[
\Delta G = \Delta H - T\Delta S
\]

The thermodynamic parameters of phenol-dioxane and phenol-triethylamine systems for both ground state and excited state are shown in Table 1.

**Results and Discussions**

The optimum concentration of phenol was found to be \( 4 \times 10^{-4} \) M. The absorption spectra of a series of solution of phenol containing dioxane in the concentration range of 0.04-0.5 M were recorded. The effect of dioxane concentration on the absorption value was reduced off by adding the same amount of dioxane to the reference cell. The absorption spectra of this system in heptane is shown in Fig. 2. Differences in optical intensity (\( \Delta A \)) were obtained from the differential spectra for 282 nm. The ground state equilibrium constant for H-bond formation, \( K_g \), was found from the graph between the relation \( 1/C_a \) and \( 1/\Delta A \). The measurements were made in different solvents.

\[ \text{Figure 2. Absorption spectra of } 4 \times 10^{-4} \text{M phenol solutions containing dioxane at varied concentrations in heptane at } 25^\circ\text{C}. \]

For phenol-triethylamine systems, H-bond formation was examined with both absorption and fluorescence spectra. Phenol concentratiton was maintained at \( 4 \times 10^{-5} \) M in all measurements. Triethylamine concentrations varied in the range of \( 8 \times 10^{-4} - 0.14 \) M. The absorption spectra of this system in hexane are shown in Figure 3. Differences in absorbance (\( \Delta A \)) were obtained from the differential spectra recorded at 284nm, and the ground state equilibrium constant for H-bond formation, \( K_g \), was calculated from a graph drawn between \( 1/C_a \) and \( 1/\Delta A \).

Fluorescence spectra of the phenol-dioxane and phenol-triethylamine systems were recorded in apolar solvents. Figure 4 shows the fluorescence spectra of the phenol-dioxane system in n-neptane. The excited state equilibrium constant for H-bond formation, \( K^* \), was found by the relation between \( (I/I_o - 1)C_a \) and \( I/I_o \) at different dioxane concentrations.
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Figure 3. Absorption spectra of $4 \times 10^{-4}$ M phenol solutions containing triethylamine at varied concentrations in heptane at 25°C.

Figure 4. Fluorescence spectra of phenol-dioxane system in n-heptane at 25°C.

The recorded fluorescence spectra of the phenol-triethylamine system in hexane at 25°C are shown in figure 5. The excited-state equilibrium constant for this system was obtained by the method described above.

Figure 5. Fluorescence spectra of phenol-triethylamine system in hexane at 25°C.
In this study, all absorption and fluorescence spectra for phenol-systems were recorded at five different temperatures in the range 15\(^\circ\)C-35\(^\circ\)C.

The comparison of the experimental thermodynamic properties of Phenol-Dioxane and Phenol-triethylamine systems in the ground and excited states is shown in Table 1.

**Table 1.** The comparison of the experimental thermodynamic properties of Phenol-Dioxane and Phenol-Triethylamine systems in the ground and excited states.

<table>
<thead>
<tr>
<th>Phenol-Dioxane System</th>
<th>M(^{-1})</th>
<th>kJ/mole</th>
<th>J/mole K</th>
</tr>
</thead>
<tbody>
<tr>
<td>20(^\circ)C</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Hexane</td>
<td>7</td>
<td>28</td>
<td>-13</td>
</tr>
<tr>
<td>Heptane</td>
<td>9</td>
<td>12</td>
<td>-24</td>
</tr>
<tr>
<td>Isooctane</td>
<td>14</td>
<td>38</td>
<td>-16</td>
</tr>
<tr>
<td>Cyclohexane</td>
<td>13</td>
<td>27</td>
<td>-27</td>
</tr>
</tbody>
</table>

| Phenol-Triethylamine System | | |
|----------------------------| | |
| Hexane                     | 115       | 107     | -25     | -23    | -12    | -11    | -47    | -39    |
| Heptane                    | 80        | 322     | -59     | -31    | -11    | -14    | -165   | -58    |
| Isooctane                  | 80        | 100     | -118    | -52    | -11    | -11    | -366   | -140   |
| Cyclohexane                | 62        | 222     | -84     | -28    | -10    | -13    | -251   | -50    |

The temperature dependence errors in the enthalpy changes were found to be approximately ± 0.03 kJ/mol

Calculated \(\Delta H_e\) values of Phenol-Dioxane systems are given in Table 2.

**Table 2.** Calculated \(\Delta H_2\) values of phenol-dioxane systems.

<table>
<thead>
<tr>
<th>Comp.</th>
<th>Frequency (cm(^{-1}))</th>
<th>(\nu_f)</th>
<th>(\nu_b)</th>
<th>(\nu_b - \nu_f)</th>
<th>(\Delta H_{e,cal}) (kJ/mol)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Phenol (282nm)</td>
<td>I</td>
<td>1A1–1B2</td>
<td>35958</td>
<td>35702</td>
<td>-256</td>
</tr>
<tr>
<td>(Hexane)</td>
<td>I</td>
<td></td>
<td>35958</td>
<td>35676</td>
<td>-282</td>
</tr>
<tr>
<td>(Heptane)</td>
<td>I</td>
<td></td>
<td>35984</td>
<td>35714</td>
<td>-270</td>
</tr>
<tr>
<td>(Isooctane)</td>
<td>I</td>
<td></td>
<td>35992</td>
<td>35689</td>
<td>-244</td>
</tr>
</tbody>
</table>

Table 3 shows shifts in frequency (\(\delta \nu\)) of UV absorption spectra for phenol and naphthol systems in H-bonded complex formation with dioxane (isooctane)\(^{12}\) and triethylamine (heptane) as acceptors. For phenol, Frequency values for phenol presented in this study were obtained experimentally, whereas those for \(\alpha\) and \(\beta\)-naphthol were obtained from the literature.

**Table 3.** Frequency shifts due to hydrogen bond formation for phenol and naphthol systems.

<table>
<thead>
<tr>
<th>Compound</th>
<th>Transition</th>
<th>(\nu_f)</th>
<th>(\nu_b)</th>
<th>Dioxane</th>
<th>Triethylamine</th>
</tr>
</thead>
<tbody>
<tr>
<td>Phenol</td>
<td>I</td>
<td>35984</td>
<td>35714</td>
<td>-270</td>
<td>580</td>
</tr>
<tr>
<td>(\alpha)-Nahptol</td>
<td>I</td>
<td>31740</td>
<td>31595</td>
<td>-145</td>
<td>280</td>
</tr>
<tr>
<td>(\beta)-Nahptol</td>
<td>I</td>
<td>31180</td>
<td>30795</td>
<td>-385</td>
<td>590</td>
</tr>
</tbody>
</table>
For all systems the equilibrium constants for ground and excited states and therodynamic properties such as change in enthalpy ($\Delta H$), change in free energy, ($\Delta G$) and change in entropy ($\Delta S$) for H-bond formation were calculated and compared with those in the literature.

**Phenol-Dioxane System:** For phenol I($^1A_1 \rightarrow ^1B_2$) transitions, at 282 nm, in the temperature range of 15-35°C, $K_g$ equilibrium constants generally increase as the dielectric constants increase for all solvent systems except isooctane. The $K_g$ values for cyclohexane and for isooctane are both in accord with values given in the literature. If follows that the results obtained for isooctane presumably result from a deviation arises from its structure (2 dimethyl, 4 methyl pentane). $\Delta H_g$, at 282 nm, in the temperature range of 15-35°C, increases as the dielectric constant increases for all solvents except heptane. The change in free energy for the ground state, $\Delta G_g$, increases according to the dielectric constant of the solvent. The deviation in $K_g$ values, is reflected in $\Delta G_g$ values only for isooctane.

At ground state, 282 nm and 20°C, the $\Delta S_g$ values of H-bond formation gradually increase as the dielectric constant of the solvent increases except in heptane).

As a result, the $\Delta S_g$ values of H-bond formation gradually shift toward negative values according to the dielectric constant of the solvent; therefore, H-bond formation for the phenol-dioxane system is stronger.

If equilibrium constants for the excited state are compared to the values obtained for the ground state, $K_e$ values are seen to be higher; therefore, the H-bond complex for the phenol-dioxane system is stronger. $\Delta H_e$, bond energies for the excited state, gradually increase according to the dielectric constant of the solvent. At the excited state, at temperatures of 20° and 25°C for each solvent, higher negative values of $\Delta S_e$ reveal the phenol-dioxane hydrogen-bonded complex to be more stable. The increment in negative $\Delta S_e$ values, depending on the dielectric constants of various solvents, shows the stability of H-bonded phenol-dioxane complex to increase.

The electronegativity of the hydroxyl oxygen atom of phenolic groups is affected by the increment of the dielectric constant of solvents either in the ground state or in the excited state. Thus, the interaction of the nonbonding pair of electrons in hydroxyl oxygen with the ring $\pi$-electrons will be enhanced on account of the hydrogen bond formation, which will be stronger.

**Phenol-Triethylamine System:** For phenol I transitions, at 284 nm in the temperature range of 15-35°C, $K_g$ values for all solvents except isooctane were found to decrease as the dielectric constants of the solvents increased. In the same temperature range, bond energy for the ground state increases as the dielectric constant increases. The data obtained are in accord with the results given in the literature. At 20 and 25°C, $\Delta G_g$ values (apart from isooctane) were found to decrease as $\Delta S_g$ values increased. As a result, the increment in $\Delta S_g$ values of H-bond formation according to the dielectric constant of the solvent reveals H-bond formation in the phenol-triethylamine system to be strong. This result is parallel to that obtained for the phenol-dioxane system. However, the increment observed is $\Delta S_g$ values (at constant temperatures) for the phenol-triethylamine system was larger than that observed for the phenol-dioxane system. This was due to the stronger proton acceptor of triethylamine.

In the excited state, at constant temperature $K_e$ values were higher than $K_g$ values, so that at the excited state phenol-triethylamine H-bonded complex was stronger. Using $K_e$ values by fluorescence measurements in heptane for the phenol-triethylamine system, the $K_g$ value was 95 M$^{-1}$, calculated with the equation from the literature. This result is in accord with the value ($K_g = 81$ M$^{-1}$) found experimentally.

$\Delta H_e$ H-bond energy of the phenol-triethylamine system, increased as the dielectric constant increased. At a constant temperature, $\Delta G_e$ and $\Delta S_e$ values shifted towards higher negative values with the increase of the dielectric constant. The change in entropy, an important criterion for stability, showed that in the
excited state, triethylamine complexes were stronger than dioxane complexes since triethylamine is a stronger proton acceptor than dioxane.

**Naphtol-Dioxane and Naphtol-TEA (Triethylamine) Systems:** The UV absorption data of the naphtol and phenol systems forming H-bonded complexes with dioxane and TEA are given in Table 3. As shown in Table 1, frequency shifts for dioxane as well as those for TEA are changed in the following order:

\[ \delta \nu_{\beta - \text{Naphtol}} > \delta \nu_{\text{phenol}} > \delta \nu_{\alpha - \text{Naphtol}} \]

This observation shows that the strength of the H-bond can be given in the following order:

- \( \beta \)-Naphtol-dioxane > phenol-dioxane > \( \alpha \)-Naphtol-dioxane
- \( \beta \)-Naphtol-TEA > Phenol-TEA > \( \alpha \)-Naphtol-TEA.

The emission spectra data for the same systems shows the phenol-triethylamine complex to be weaker than \( \beta \)-naphtol-Triethylamine complex.

**References**