

# Application of artificial neural networks in oxidation kinetic analysis of nanocomposites

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This study concerns the application of artificial neural networks in oxidation kinetic analysis of ceramic nanocomposites. The oxidation of the Ti-Si-C ceramic nanocomposite in dry air was studied. The size of the nanoparticles was determined by scanning electron microscopy (SEM). The gaseous oxidation products were analysed by mass spectroscopy (MS) while the solid oxidation products by X-ray diffraction (XRD). The kinetic analysis of the oxidation was based on the Coats-Redfern equation. The kinetic models were identified for the consecutive stages and then the A and E parameters of the Arrhenius equations were evaluated. Artificial neural networks were used at each step of the kinetic calculations.

**Keywords:** artificial neural networks, ceramic nanocomposites, oxidation, kinetics.

## INTRODUCTION

Modelling of technological processes by structural and non-structural methods is based on data sets with a sufficient number of cases. During the analysis, appropriate input (temperature, concentration of reacting substances etc.) and output variables (conversion degree, conversion rate etc.) should be measured<sup>1</sup>. The number of variables to record is usually determined on the basis of the theory of the investigated process. In some cases also additional information is needed. Therefore, it should be assessed, if an appropriate number of variables with sufficient accuracy was measured<sup>2</sup>.

In the case of structural models, a process theory is verified by the measurement data and then model parameters are determined with a simultaneous assessment of measurement accuracy. In the case of complex processes this procedure can be of little effectiveness<sup>3,4</sup>. In turn, the non-structural models are created without an explanation of the process mechanism but are based only on the data sets with a sufficient number of cases. Some of the contemporary non-structural methods form separate science disciplines, like the artificial neural networks<sup>5-8</sup>. This method allows verification at each stage of a process modelling<sup>9-11</sup>.

In this study artificial neural networks were used in the kinetic analysis of the oxidation of the Ti-Si-C ceramic nanocomposite in dry air. That kind of processes is of crucial importance in materials science and engineering<sup>9-21</sup>. The aim was a structural model, based on chemical kinetics and obtained with the help of artificial neural networks.

## ARTIFICIAL NEURAL NETWORKS

Development of neural network technique started from the model of a neuron, elaborated by Mc Culloch and Pitts<sup>23</sup>. The theoretical background of the data transformation systems was formed by Widrow<sup>24</sup>. A dynamic progress in that new field of knowledge was induced by Hopfield and his works<sup>25</sup>. Artificial neural networks are now a separate discipline, used in many financial, medical, environmental and technical science issues.

A neural network is a system of interconnected neurons, which usually form layers: input, output and internal (hid-

den). The data set is loaded to the first layer, then, through the existing connections transferred to the next layers. The data obtained from the last layer are the result of calculations. Setting the proper network parameters (weights) of a given neural network is called training. That process requires the data describing the studied phenomena and is performed using appropriate algorithms<sup>5-8</sup>. During the calculations the cases are divided into three subsets: Tr (used for training), Ve (verifying the training progress) and Te (used for the final testing of a network performance)<sup>5</sup>.

There are many types of artificial neural networks that differ in their structure and the principle of operation<sup>5-8</sup>. The most popular are multilayer perceptrons (MLP), radial basis function networks (RBF) and generalized regression neural networks (GRNN). MLP neural networks form feed-forward architecture of almost any complexity. RBF networks are complementary to MLP- their hidden layers contain radial neurons, which are based on the Cover theorem<sup>26</sup>. In turn, the GRNN neural networks are applicable to regression problems.

In this study Statistical Neural Networks software was used for calculations<sup>27-30</sup>. It contains the Intelligent Problem Solver (IPS) module, which creates a set of neural networks of the best performance. Each model is assessed by the following statistical parameters: Error Mean- average RMS (Root Mean Squared) error value; Abs. E. Mean- average of absolute error values; Error S.D.- error standard deviation; S.D. Ratio- ratio of error standard deviation and data standard deviation; Correlation- Pearson's correlation coefficient between the target and the predicted data. Statistical assessment is performed separately for the Tr, Ve and the Te subsets. The values of S.D. Ratio lower than 0.1 and Correlation higher than 0.9 describe high-performance models. In complex models with many input variables it is important to indicate the most important factors by sensitivity analysis<sup>5</sup>.

Artificial neural network models must be based on sufficiently large data sets of at least 100 cases. In order to obtain high performance, the analyzed data should be checked for the presence of noise or incomplete cases and, if necessary, scaled or transformed<sup>27-30</sup>.

## MATERIALS AND METHODS

The studied powder was the Ti-Si-C nanocomposite, obtained by the sol-gel method within the framework of MULTIPROTECT „Advanced Environmentally Friendly Multifunctional Corrosion Protection by Nanotechnology” European Union project (N° NMP3-CT-011783). This nanopowder was designed for coatings technology. The chemical and the phase composition were determined by X-ray diffraction (XRD). The measurements were carried out on a Philips X'Pert apparatus with a copper X-ray tube for the wavelength  $\text{CuK}\alpha = 0.15418$  nm, the current voltage of 35 kV, the intensity of 30 mA and the temperature of 298 K. The spectrum analysis was performed using the X'Pert HighScore 1.0 software. TG (thermogravimetric) analyses under non-isothermal conditions were performed on a SETARAM 2915 apparatus in dry air and under atmospheric pressure. The following linear heating rates were applied: 3 K/min (sample mass 6.932 mg); 5 K/min (6.471 mg); 10 K/min (7.301 mg); 20 K/min (6.797 mg). The TG and DTG curves were recorded. The identification of gaseous oxidation products using mass spectroscopy (MS) supported the division of the process into stages. The measurements were carried out on a Pfeiffer Vacuum apparatus, type Thermostar GSD 301.

## MEASUREMENT RESULTS

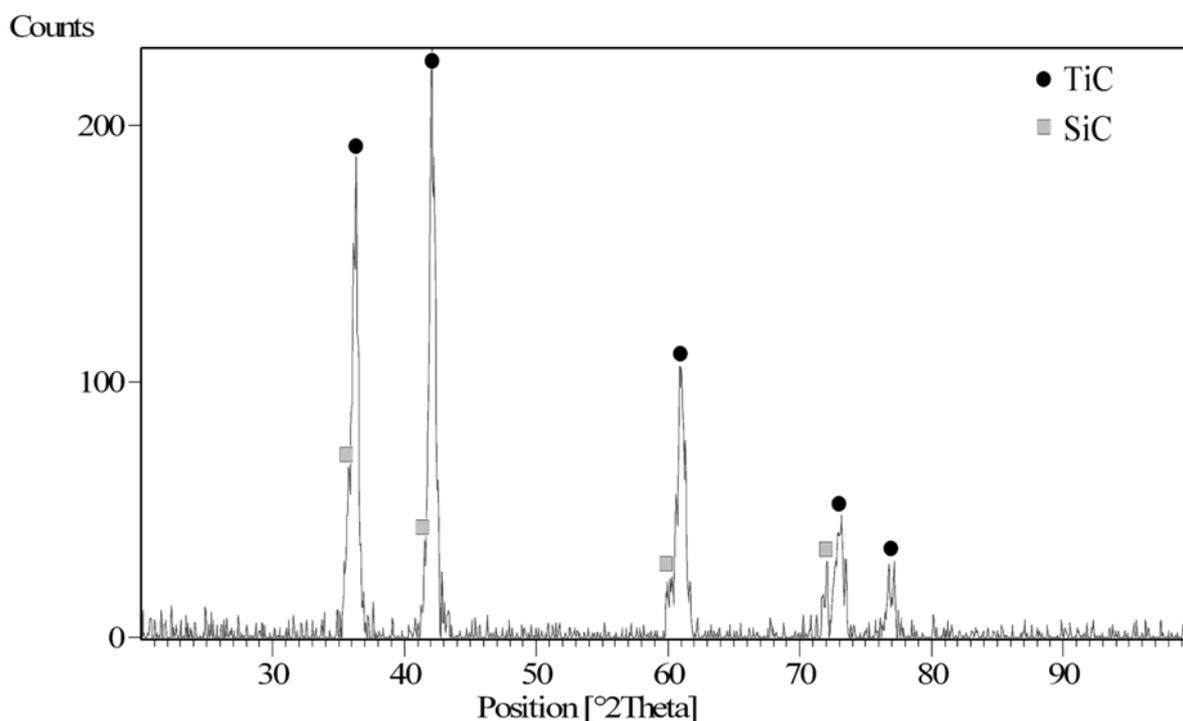
The XRD powder diagram of the Ti-Si-C nanocomposite is shown in Figure 1. The following phases were identified: TiC (ICCD card no. 32-1383) and SiC (ICDD card no. 73-1708). Crystalline carbon was not observed. Thus, we concluded that the carbon matrix had an amorphous form. Proper division of the oxidation process was assured by the analysis of mass spectrums. The gaseous products of the TiC oxidation were carbon oxides (CO and CO<sub>2</sub>). Additionally, elementary carbon was educed, which is in accordance with

former reports<sup>31-33</sup>. During the oxidation of the SiC phase only the gaseous products (carbon oxides) were produced. CO and CO<sub>2</sub> spectral lines had the same course, therefore in Figure 2 only the spectral line m/e 44 is shown. In Figure 3 the normalized TG curves are shown, obtained by dividing an actual sample mass by the initial sample mass. They were assessed by artificial neural networks. All the series of the measurements were considered jointly. The output variable was the normalized TG while the input variables were sample heating rate and temperature. The obtained model was the GRNN 2/12306 neural network, which statistical assessment is given in Table 1. The high performance of the model indicated the good quality of the measurement data. Therefore, they could be applied in further calculations.

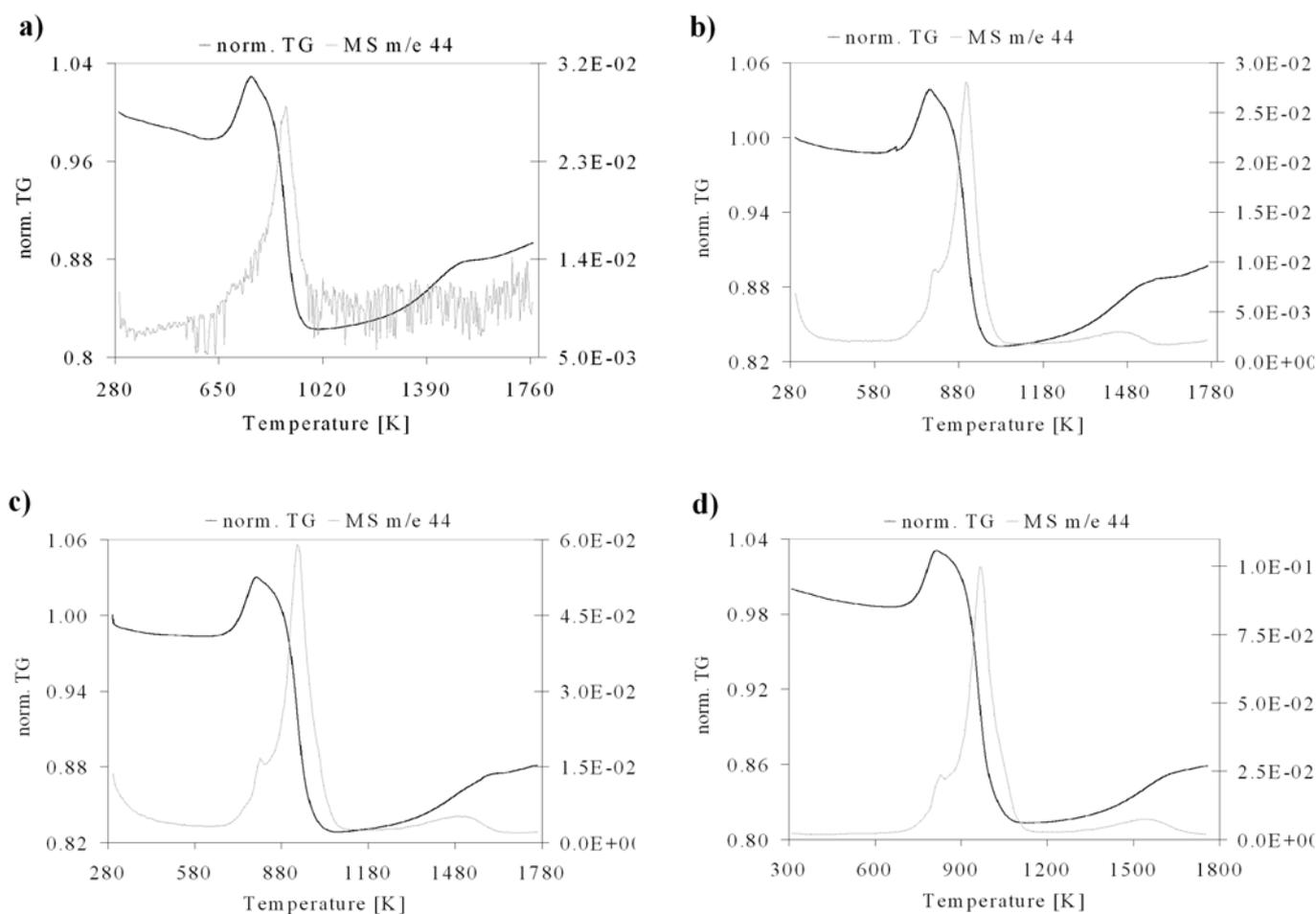
First, the process was divided into stages<sup>1,11</sup>. The distinguished conversions are given in Table 2. Stage II concerned the combustion of elementary carbon educed in stage I (oxidation of TiC) while stage III the combustion of carbon matrix. Then, the  $\alpha(T)$  functions were determined (Figure 4), according to the methodology reported by Straszko et al<sup>11</sup>. They were assessed with the help of artificial neural networks. The obtained models and their statistical assessment are given in Table 3. Good accuracy in all the cases confirmed that, in accordance with the theory, the conversion degree depended on sample heating rate and the temperature and could be used in further kinetic calculations.

**Table 1.** Statistical assessment of GRNN 2/12306 neural model with norm. TG as dependent

Parameter	Tr	Ve	Te
Error Mean	0.0001	0.0001	0.0001
Error S.D.	0.0008	0.0008	0.0008
Abs E. Mean	0.0004	0.0004	0.0004
S.D. Ratio	0.0430	0.0436	0.0419
Correlation	0.999	0.999	0.999



**Figure 1.** XRD powder diagram of Ti-Si-C nanocomposite



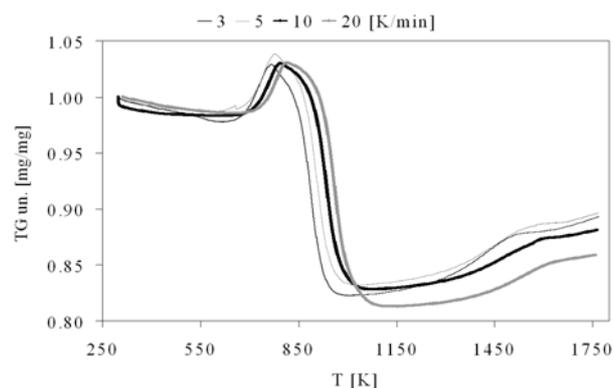
**Figure 2.** Spectral lines m/e 44 and normalized TG curves recorded during oxidation of Ti-Si-C powder with the heating rate of a) 3 K/min, b) 5 K/min, c) 10 K/min, d) 20 K/min

**Table 2.** Temperature ranges and processes occurring in the consecutive stages of oxidation of Ti-Si-C nanocomposite

Stage	Process	$\beta$ [K/min]	Temperature range [K]
0	Desorption of impurities	3	296-620
		5	298-600
		10	298-600
		20	300-650
I	Oxidation of TiC	3	639-764
		5	661-773
		10	654-792
		20	686-810
II	Combustion of $C_{el}$	3	775-820
		5	785-837
		10	801-853
		20	826-887
III	Combustion of $C_{matr}$	3	831-985
		5	848-1001
		10	867-1054
		20	927-1104
IV	Oxidation of SiC	3	1045-1558
		5	1073-1590
		10	1111-1640
		20	1187-1677

## KINETIC ANALYSIS

The kinetic analysis of non-isothermal oxidation in this study was based on the Coats-Redfern linear equation<sup>22</sup>:



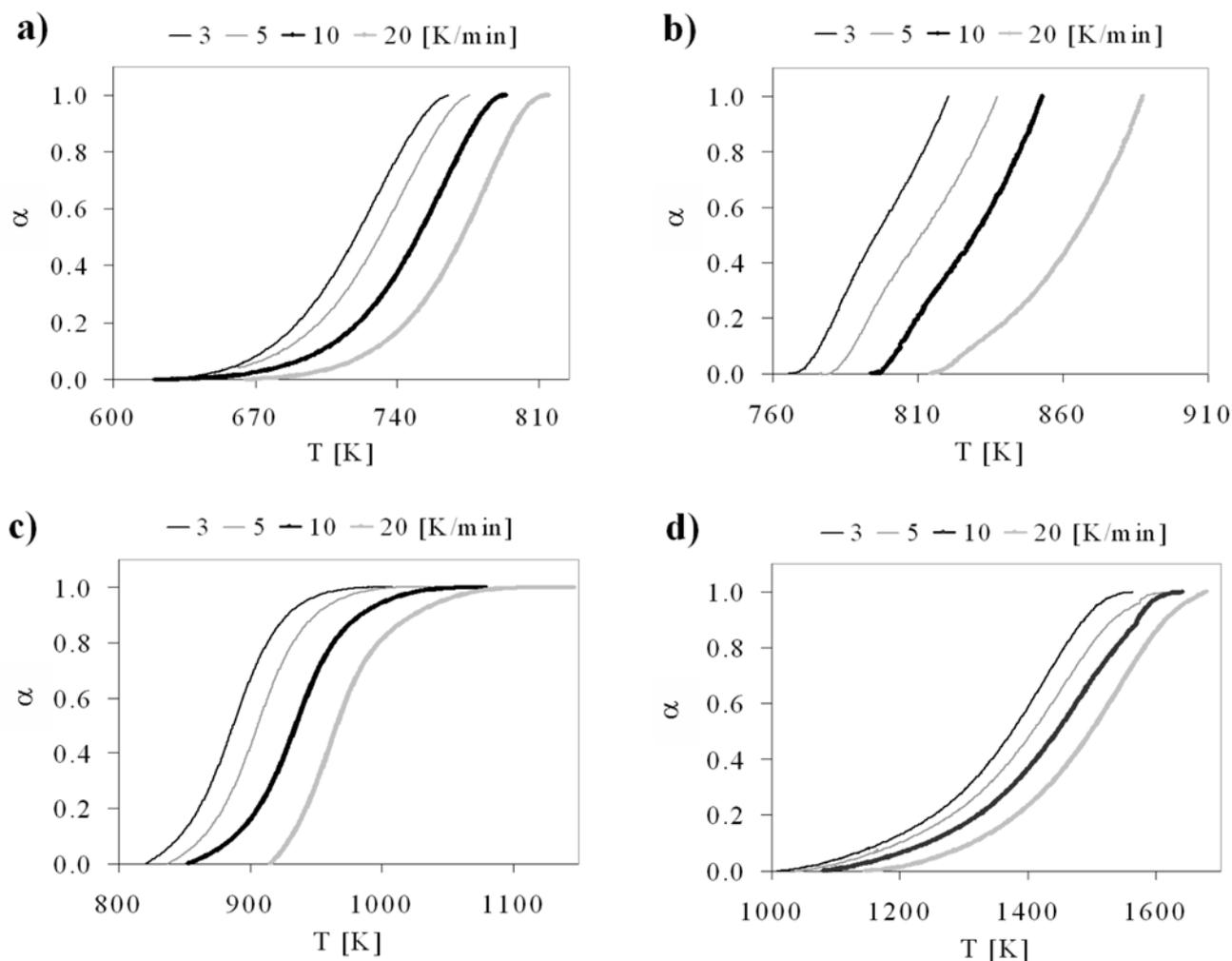
**Figure 3.** Normalized TG curves from oxidation of Ti-Si-C nanocomposite

$$\ln\left(\frac{g(\alpha)}{T^2}\right) = \ln\left\{\frac{AR}{\beta E}\left(1 - \frac{2RT_m}{E}\right)\right\} - \frac{E}{RT} \quad (1)$$

As a theoretical equation it cannot be sufficiently satisfied in the case of real processes, which are affected by the changes in particle size and their geometry or formation of the solid products<sup>30-33</sup>. As a result, deviations from linearity hamper the identification of the kinetic models and the determination of kinetic parameters A and E<sup>34,35</sup>. Structural models are usually assessed by statistical methods. Simple statistical tests are often insufficient and residue analysis is important. Distribution of residues depends on the quality of both the measurement data and the model performance<sup>8</sup>.

**Table 3.** Statistical assessment of neural models with conversion degree as dependent variable

Parameter	Tr	Ve	Te	Tr	Ve	Te
	Stage I, MLP 2/4			Stage II, MLP 2/3		
Error Mean	-0.00001	-0.00004	0.00024	0.00000	0.00016	0.00093
Error S.D.	0.00671	0.00658	0.00681	0.00851	0.00891	0.00862
Abs E. Mean	0.00536	0.00528	0.00532	0.00690	0.00721	0.00714
S.D. Ratio	0.02130	0.02090	0.02130	0.03024	0.03125	0.03021
Correlation	0.999	0.999	0.999	0.999	0.999	0.999
	Stage III, MLP 2/3			Stage IV, MLP 2/3		
Error Mean	-0.00005	0.00002	0.00006	0.00001	-0.00009	-0.00038
Error S.D.	0.00422	0.00471	0.00484	0.00669	0.00684	0.00689
Abs E. Mean	0.00238	0.00262	0.00264	0.00436	0.00464	0.00463
S.D. Ratio	0.01229	0.01377	0.01403	0.01992	0.02038	0.02048
Correlation	0.999	0.999	0.999	0.999	0.999	0.999

**Figure 4.** Dependence of conversion degree on temperature in the distinguished stages of Ti-Si-C oxidation. a) stage I; b) stage II; c) stage III; d) stage IV

The following kinetic models were tested in this study: D1 (one-dimensional diffusion), D2 (two-dimensional diffusion, cylindrical symmetry), D3 (three-dimensional diffusion, spherical symmetry, Jander equation), D4 (three-dimensional diffusion, spherical symmetry, Ginstling-Brounshtein equation), F1 (first-order reaction), F2 (second-order reaction), F3 (third-order reaction), A2 (random nucleation, Avrami I equation), A3 (random nucleation, Avrami II equation), R1 (phase-boundary reaction, zero-order reaction), R2 (phase-boundary reaction, cylindrical symmetry), and R3 (phase-boundary reaction, spherical symmetry). The preliminary assumption was the fulfilment of linearity in the co-ordinate

system  $\ln\left(\frac{g(\alpha)}{T^2}\right) - \frac{1000}{T}$ . The accuracy of the fit was as-

essed by linear regression using Statgraf software, separately for the consecutive series of the measurements. Additionally, the fulfilment of the Coats-Redfern equation was evaluated with the linear neural network models, using StatSoft software STATISTICA Neural Networks 4.0A. In that case, all the series of the measurements were considered jointly under the assumption that the A and E parameters should be constant and independent of the process conditions. The input variable was sample heating rate and  $\frac{1000}{T}$  while the output

variable was  $\ln\left(\frac{g(\alpha)}{T^2}\right)$  function. The performance of the

linear neural network models was assessed by the Pearson's correlation coefficient between the experimental and the predicted data, and also by the SD Ratio. Those parameters were calculated separately for the training (Tr), verification (Ve) and the testing (Te) subsets.

The kinetic parameters were first determined by means of linear regression for each series of the measurements separately. Because of the deviations from linearity the  $\ln\left(\frac{g(\alpha)}{T^2}\right) - \frac{1000}{T}$  lines had different slopes and as a result

different A and E values between the measurement series. Moreover, the residues were independent random variables and correlations between  $\ln A$  and E were observed. There were also difficulties in the identification of the kinetic models. Therefore, it was supported by additional criteria. It was required that linearity in all the series of the measurements was fulfilled for the same  $g(\alpha)$  function. The courses of  $k(T)$  dependencies had to be convergent in spite of the differences in the A and E values between the series. The parameters of the Arrhenius equation, calculated from a given model by means of linear regression, were adjusted by stochastic linearization<sup>39</sup>, i.e. correction of the E value so that the error in a series approached zero. Finally, consistency in a wide range between the  $\alpha(T)$  values calculated from the Coats-Redfern equation and determined from the measurements was demanded. The methodology of the calculations is shown in the example of stage I.

The linearity condition was quite well fulfilled for models D3, F1 and R3. The fit was assessed with the help of neural networks. Good accuracy was obtained for all the considered models (Table 4), therefore the A and E parameters were calculated from each of them. The linear regression analysis was performed separately for the consecutive measurement

series using Statgraf software. The results with statistical assessment are given in Table 5. Still the unambiguous choice of the best model could not be made. Therefore, an additional criterion was used- the convergence of  $k(T)$ . This requirement was fulfilled by model F1.

Using the A and E parameters, given in Table 5, the values of the  $\ln\left(\frac{g(\alpha)}{T^2}\right)$  function were calculated from the Coats-

Redfern equation. Considerable errors were obtained in spite of good statistical assessment. The values of the E parameter were slightly corrected until the errors in the series were close to 0. The results are shown in Table 6. Using the corrected kinetic parameters,  $\alpha(T)$  dependencies were calculated from the Coats-Redfern equation and compared with the empirical values (Figure 5). Finally, it was assumed that stage I (oxidation of TiC) proceeded according to model F1 with corrected kinetic parameters given in Table 6.

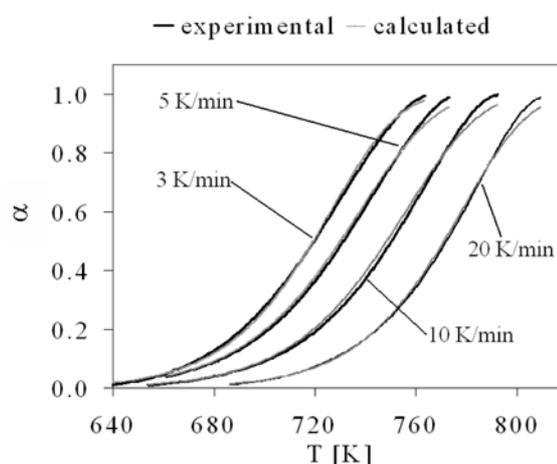


Figure 5. Comparison of experimental  $\alpha(T)$  functions and those calculated from kinetic models for stage I of Ti-Si-C oxidation

Table 4. Statistical assessment of neural networks. Kinetic models in stage I of Ti-Si-C oxidation

Parametr	Model D3			Model F1			Model R3		
	Tr	Ve	Te	Tr	Ve	Te	Tr	Ve	Te
Error Mean	0.0000	0.0206	-0.0029	0.0000	0.0092	0.0085	0.0000	0.0092	-0.0032
Error S.D.	0.3185	0.3219	0.3248	0.1505	0.1648	0.1581	0.1574	0.1644	0.1648
Abs E. Mean	0.2626	0.2680	0.2674	0.1199	0.1308	0.1270	0.1284	0.1359	0.1339
S.D. Ratio	0.1150	0.1168	0.1179	0.1036	0.1116	0.1084	0.1183	0.1229	0.1237
Correlation	0.993	0.993	0.993	0.995	0.994	0.994	0.993	0.992	0.992

Table 5. Kinetic parameters for the consecutive series of measurements in stage I of Ti-Si-C oxidation

$\beta$ [K/min]	Model	$r^2$	F	$T_m$ [K]	E [kJ/mol]	A [1/min]	$\Delta\alpha$	$\Delta T$ [K]
3	D3	0.995	95173.08	719.6	322.84	3.59E+21	0.01-0.99	639-764
	F1	0.998	318832.10		170.71	2.24E+11		
	R3	0.994	87117.09		155.61	1.38E+10		
5	D3	0.999	318832.10	731.2	292.82	1.73E+19	0.04-0.99	661-773
	F1	0.999	318832.10		157.02	2.31E+10		
	R3	1.000	1803161.00		140.47	1.13E+09		
10	D3	1.000	1027132.00	750.4	321.53	1.11E+21	0.01-0.99	654-792
	F1	0.998	200468.10		168.72	1.65E+11		
	R3	1.000	910379.80		154.79	1.33E+10		
20	D3	0.999	354398.70	772.2	376.36	2.88E+24	0.01-0.99	686-810
	F1	0.999	320315.80		198.34	1.47E+13		
	R3	0.999	322624.00		181.98	9.97E+11		

**Table 6.** Kinetic parameters before and after correction. Oxidation of Ti-Si-C nanocomposite in stage I

$\beta$ [K/min]	A [1/min]	before correction		after correction	
		E [kJ/mol]	Error [%]	E [kJ/mol]	Error [%]
3	2.24E+11	170.71	-7.1	164.81	0.0093
5	2.31E+10	157.02	-6.4	151.68	0.0093
10	1.65E+11	168.72	-6.6	162.99	-0.0001
20	1.47E+13	198.34	-8.3	190.52	0.0005

**Table 7.** Kinetic parameters obtained for all stages of the Ti-Si-C oxidation process

Stage	Model	$\beta$ [K/min]	A [1/min]	E [kJ/mol]	Tm [K]	$\Delta T$ [K]	$\Delta\alpha$
I	F1	3	2.24E+11	164.81	719.6	639-764	0.01-0.99
		5	2.31E+10	151.68	731.2	661-773	0.04-0.99
		10	1.65E+11	162.99	750.4	654-792	0.01-0.99
		20	1.47E+13	190.82	772.2	686-810	0.01-0.99
		Mean	3.35E+11	173.70			
II	F1	3	2.85E+22	345.75	795.7	775-820	0.07-0.99
		5	3.35E+20	319.96	811.4	785-837	0.05-0.99
		10	3.67E+21	338.20	829.8	801-853	0.05-0.99
		20	9.39E+18	306.07	864.7	826-887	0.07-0.98
		Mean	7.57E+20	337.33			
III	F2	3	9.81E+20	357.51	887.3	831-985	0.03-0.99
		5	1.12E+20	346.16	906.0	848-1001	0.03-0.99
		10	5.80E+18	329.85	935.0	867-1054	0.03-0.99
		20	1.09E+19	342.68	966.3	927-1104	0.06-0.99
		Mean	5.13E+19	355.31			
IV	D3	3	6.08E+06	239.24	1375.2	1045-1558	0.01-0.99
		5	5.07E+06	238.74	1407.5	1073-1590	0.01-0.99
		10	3.70E+07	259.28	1445.8	1111-1640	0.01-0.99
		20	2.70E+09	310.30	1498.8	1187-1677	0.01-0.99
		Mean	4.19E+07	266.13			

**Table 8.** Kinetic parameters evaluated with linear neural networks for the consecutive stages of Ti-Si-C oxidation

Stage	Model	A [1/min]	E [kJ/mol]	Correlation
I	F1	1.62E+11	167.99	0.994
II	F1	3.80E+23	379.79	0.980
III	F2	6.09E+19	356.88	0.997
IV	D3	7.88E+06	247.19	0.993

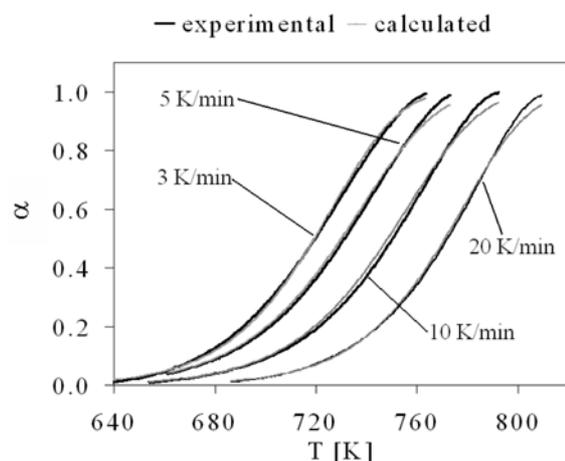
The calculations for the remaining stages were performed in the same way and their final results are shown in Table 7. The values of the E and A parameters differed between the measurement series. This situation is caused by the deviations from linearity and hampers the estimation of the actual A and E values. Under the assumption, that  $\ln A$  and E fulfill the normality condition, arithmetic means are their estimates. However, having only a few series of measurements, the normality may not be obtained.

Another way of evaluating the actual kinetic parameters consists in the application of artificial neural networks. The linear models generate in the  $\ln\left(\frac{g(\alpha)}{T^2}\right) - \frac{1000}{T}$  co-ordinate

system parallel lines for the consecutive sample heating rates. If the deviations from linearity are not considerable, single  $\ln\left(\frac{g(\alpha)}{T^2}\right)$  values calculated by a linear network a set of A and

E values are obtained, the same for all the series. The results of this kind of the calculations for the consecutive stages of Ti-Si-C oxidation are given in Table 8.

It should be underlined, that in spite of the different A and E values evaluated with both methods, similar  $k(T)$  plots

**Figure 6.** SEM images of titanium dioxide obtained in the hydrolysis of titanyl sulfate from a solution with the initial  $Al^{3+}$  concentration equal to: a) 0.07 wt %, b) 0.3 wt %

were obtained. The results from the linear network were assumed to be the proper ones, in accordance with former reports<sup>30-33,36-38</sup> and are the starting point for the process analysis<sup>1, 11, 21</sup>.

## SUMMARY

Ceramic nanocomposites can be applied in various technological processes, where quantitative description is needed. Due to the peculiarity of nanostructure material traditional methods may not be sufficient. Quantitative description of technological processes, usually in the form of structural models, is obtained on the basis of the experimental data with a simultaneous assessment of the underlying theory, the

model parameters and the measurement quality. In the case of complex processes this system may be of little effectiveness and then non-structural methods are more useful, for instance the artificial neural networks. This paper is an example of an application of neural models in oxidation kinetic analysis. Neural models were used in the measurement quality assessment as well as in the identification of kinetic models and kinetic parameters estimation. The obtained results were of high accuracy and proved applicability of artificial neural networks in the discussed type of analysis.

## NOMENCLATURE

A – pre-exponential Arrhenius factor, 1/min  
E – apparent activation energy, kJ/mol

k(T) – rate constant, 1/min  
r – reaction rate, 1/min  
T – temperature, K  
T<sub>m</sub> – maximum conversion rate temperature, K  
α(T) – conversion degree  
β – sample heating rate, K/min  
r\* – correlation coefficient  
R – gas constant, kJ/molK  
t – time, min  
F – Snedecor's variable  
f(α) – conversion function dependent on mechanism of the reaction  
g(α) – integral form of the conversion function

## TESTED KINETIC MODELS

Mechanism	Symbol	f(α)	g(α)
One-dimensional diffusion	D1	$\alpha^{-1}$	$\alpha^2$
Two-dimensional diffusion, cylindrical symmetry	D2	$[-\ln(1-\alpha)]^{-1}$	$(1-\alpha)\ln(1-\alpha) + \alpha$
Three-dimensional diffusion, spherical symmetry, Jander equation	D3	$\frac{(1-\alpha)^{2/3}}{1-(1-\alpha)^{1/3}}$	$\frac{3}{2}\left[1-(1-\alpha)^{1/3}\right]^2$
Three-dimensional diffusion, spherical symmetry, Ginstling-Brounshtein equation	D4	$\left[(1-\alpha)^{-1/3} - 1\right]^{-1}$	$\frac{3}{2}\left[1-\frac{2}{3}\alpha-(1-\alpha)^{2/3}\right]$
1 <sup>st</sup> order reaction	F1	$(1-\alpha)$	$[-\ln(1-\alpha)]$
2 <sup>nd</sup> order reaction	F2	$(1-\alpha)^2$	$(1-\alpha)^{-1}-1$
3 <sup>rd</sup> order reaction	F3	$\frac{1}{2}(1-\alpha)^3$	$(1-\alpha)^{-2}-1$
Random nucleation, Avrami I equation	A2	$(1-\alpha)[- \ln(1-\alpha)]^{1/2}$	$[- \ln(1-\alpha)]^{1/2}$
Random nucleation, Avrami II equation	A3	$(1-\alpha)[- \ln(1-\alpha)]^{2/3}$	$[- \ln(1-\alpha)]^{1/3}$
Phase-boundary reaction, zero-order reaction	R1	1	$\alpha$
Phase-boundary reaction, cylindrical symmetry	R2	$(1-\alpha)^{1/2}$	$21-(1-\alpha)^{1/2}$
Phase-boundary reaction, spherical symmetry	R3	$(1-\alpha)^{2/3}$	$31-(1-\alpha)^{1/3}$

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