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theory with trace  
gases**

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# Köhler theory for a polydisperse droplet population in the presence of a soluble trace gas, and an application to stratospheric STS droplet growth

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Received: 23 April 2003 – Accepted: 17 June 2003 – Published: 26 June 2003

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## Abstract

We consider the equilibrium behavior of a polydisperse aqueous droplet population as a function of relative humidity (RH) when a soluble trace gas, such as nitric acid, is present in the system. The droplet population experiences a splitting when the RH is increased sufficiently. This splitting is not related to the traditional Köhler activation of cloud droplets, as it may occur at relative humidities below 100%. Remarkably, the splitting always takes place in such a way that the largest size class of the (discretized) droplet population starts taking up the soluble trace gas efficiently, growing steeply as a function of RH, and forcing the smaller droplets to shrink. We consider this behavior in terms of open and closed system Köhler curves (open system referring to one in which the trace gas concentration remains constant and closed system to one in which the gas concentration decreases as a result of uptake of the trace gas). We show how the open and closed system Köhler curves are related, and that the splitting of the population can be explained in terms of closed system curves crossing the Köhler maxima of the open system curves. We then go on to consider time-dependent situations, and show that due to gas-phase mass transfer limitations, the splitting of the size distributions moves toward smaller sizes as the rate of RH increase becomes more rapid. Finally, we consider stratospheric supercooled ternary solution droplet populations, and show that the splitting described using the new theory may explain observed bimodal size distributions.

## 1. Introduction

For decades the formation of cloud droplets has been described using Köhler theory (Köhler, 1936; Seinfeld and Pandis, 1998). The theory assumes that the droplets consist of a binary mixture of completely dissociated electrolytic salt and water. According to the theory, the droplets are in the thermodynamical equilibrium until the saturation ratio of the water vapour reaches a critical value, after which the droplets are “activated”

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and they grow spontaneously to cloud droplets.

In recent studies it has been shown that there are chemical factors that can affect the equilibrium saturation ratio of the particles and have effect on the number of particles that are activated to cloud droplets. Soluble hygroscopic gases, slightly soluble solutes, and surface tension depression by organic substances may influence cloud droplet activation (Kulmala et al., 1993; Shulman et al., 1996; Laaksonen et al., 1998). Also irreversible chemical reactions, mainly sulfate production, can contribute to cloud droplet formation (Kokkola et al., 2003a). In this article we examine the effects of soluble hygroscopic gases on the growth of droplet populations. We first extend the theoretical considerations of Laaksonen et al. (1998) to describe the equilibrium behavior of a polydisperse droplet distribution as a function of relative humidity in the presence of a soluble trace gas, and note that the population may split into two parts although no cloud activation in the traditional sense takes place. We then examine, using a cloud model, how this behavior is modified due to gas-phase kinetics. Finally, we show that ternary stratospheric droplet populations may experience the splitting and become bimodal at low cooling rates.

## 2. Numerical models

For the calculations we used two types of models, an equilibrium model and a cloud model (non-equilibrium model). The equilibrium model calculates the thermodynamical equilibrium at given temperature and relative humidity for a log-normal aerosol particle population. The equilibrium is calculated among solids, liquids, ions, and gases over multiple discrete size bins. The model includes the aerosol inorganics model AIM (Clegg et al., 1998) for calculation of activity coefficients as functions of solution concentrations and temperature.

The cloud model is a moving sectional box model for simulating the evolution of physical and chemical properties of an aerosol population (Kokkola et al., 2003b). It has been developed to simulate cloud or fog droplet formation. The physicochemical

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processes affecting the aerosol population and included in the model are condensation and evaporation of water vapor and trace gases, gas emissions, and reversible and irreversible chemical reactions producing sulfate in the liquid phase. The model also includes modules for equilibrating the aerosol population with water vapor and trace gases, and for calculating the optical properties of the aerosol population. In the cloud model, activity coefficients are calculated either with the modified version of the thermodynamical equilibrium model EQUISOLV II (Jacobson, 1999), or with AIM.

The model was slightly modified when the calculations were made in stratospheric conditions (< 200 K). In these conditions, the equilibrium model AIM was used. In stratospheric conditions the droplets are highly concentrated, so the effect of nitric acid and sulfuric acid was taken into account when calculating the surface tension and the density of the droplets. For this, we used parameterizations developed by Martin et al. (2000) for surface tension and density of H<sub>2</sub>O/HNO<sub>3</sub>/H<sub>2</sub>SO<sub>4</sub> droplets. Also, the diffusion coefficient was calculated using an equation valid at low concentrations, given by Rizi and Visconti (1999).

### 3. Equilibrium growth of monodisperse aerosol

When soluble gases such as nitric acid (HNO<sub>3</sub>) or hydrochlorid acid (HCl) are dissolved in aqueous salt solution droplets, the equilibrium saturation ratio of the droplets is lowered. The Köhler curve maximum is subsequently depressed, and if the concentration of the soluble gas is high, the maximum can occur at less than 100% relative humidity (Kulmala et al., 1993). The equations describing the equilibria of a solution droplet with water vapor and a soluble gas are:

$$S_w = x_w f_w \exp(2\sigma v_w / RT r) \quad (1)$$

$$S_a = x_a f_a \exp(2\sigma v_a / RT r). \quad (2)$$

Here the subscripts *w* and *a* denote water and the soluble gas in question, respectively, *S* is saturation ratio, *x* is mole fraction, *f* is the rational activity coefficient, *r* is the

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droplet radius,  $\sigma$  denotes surface tension,  $v$  is molar volume,  $R$  is the gas constant, and  $T$  is temperature.

In Laaksonen et al. (1998) we derived, starting from Eqs. (1–2), equations giving the equilibrium radius of the droplet at given saturation ratio of water, in two special cases.

The first case is an open system with respect to the soluble gas, i.e. one in which the gas phase concentration of species  $a$  stays constant:

$$S_w \simeq 1 + \frac{a_w}{r} - \frac{b_s}{r^3} - v_a(P_a K_a)^{1/2}. \quad (3)$$

Here  $a_w = 2\sigma v_w / RT$ , and  $b_s = 3M_w v_s n_s / 4\pi\rho_w$  with  $M_w$  and  $\rho_w$  the molar mass and density of water,  $v_s$  and  $v_a$  the number of ions the salt and the soluble gas dissociate into, and  $P_a$  and  $K_a$  the partial pressure, and Henry's law coefficient of the soluble gas, respectively. Thus, at high relative humidities, the traditional Köhler curve of a salt solution droplet is depressed by a constant amount, determined by the last term on the right hand side of the equation, when a soluble species is present at constant gas-phase concentration.

The second case considered by Laaksonen et al. (1998) was a closed system, in which the total amount of the soluble species (gas phase + dissolved) is assumed constant. The resulting equilibrium equation is then

$$S_w \simeq 1 + \frac{a_w}{r} - \frac{b_s}{r^3} - \frac{2n_t}{b_a r^3 \left(1 + \left(1 + \frac{4n_t}{C\beta r^6}\right)^{1/2}\right)} \quad (4)$$

where  $C$  is aerosol concentration  $n_t$  is the total number of moles of the soluble substance per unit volume,  $b_a = 4\pi\rho_w / 3M_w v_a$  and  $\beta = K_a RT (\rho_w 4\pi / 3M_w)^2$ . Below, we shall call curves produced by Eqs. (3) and (4) open and closed system Köhler curves, respectively. (Note that Eqs. (3) and (4) were derived subject to a number of approximations, and they are accurate at high relative humidities only. At RH < 99%, the equilibria should be solved numerically from Eqs. (1) and (2), and the appropriate condition referring to open or closed system.)

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The grey lines in Fig. 1 show the open system Köhler curves for a 75 nm ammonium sulfate particle when a varying amount between 0 and 10 ppb of nitric acid is in the gas phase at 298 K temperature and 1 atm pressure. Thus, in an open system with 10 ppb of nitric acid in the gas phase, the droplets would be activated at slightly less than 99.5% relative humidity.

In a closed system, nitric acid is depleted from the gas phase and the closed system Köhler curve approaches the traditional Köhler curve as the droplet size grows. The black solid line in Fig. 1 shows the modified Köhler curve in a closed system for the 75 nm ammonium sulfate particles with a number concentration of  $100\text{ cm}^{-3}$ . In the calculation of this curve, the initial gas-phase nitric acid mixing ratio was 10 ppb. As RH increases and  $\text{HNO}_3$  is depleted from the gas-phase, the closed system Köhler curve crosses a succession of open system curves corresponding to a smaller and smaller concentration of gas-phase nitric acid. For droplets larger than about  $1\ \mu\text{m}$  the crossing points reside on the unstable (decreasing) side of the open system curves, however, the resulting closed system Köhler curve represents stable equilibria. Thus, the droplet can be over micron sized but still be unactivated at relative humidities below 100% (Kulmala et al., 1997; Laaksonen et al., 1998).

#### 4. Equilibrium growth of a polydisperse aerosol population

Figure 2 shows the effect of  $\text{HNO}_3$  on the equilibrium saturation ratios of a polydisperse ammonium sulfate-water droplet population. The dashed lines are the traditional Köhler curves for different sized ammonium sulfate particles. The solid lines are the modified open system Köhler curves for the same particles when 0.1 ppb of  $\text{HNO}_3$  is in the gas-phase. The figure shows that with sufficiently large particles, the maxima of the open system Köhler curves are below unity. Thus, in an open system with 0.1 ppb nitric acid, the largest droplets of Fig. 2 would be activated at about 99.95% relative humidity.

In a closed system with a polydisperse aerosol population, the partitioning of  $\text{HNO}_3$  to a single size class affects the equilibria of all other size classes, i.e. the closed

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system Köhler curves for the different droplet sizes are not independent of each other. Therefore, closed system Köhler curves have to be calculated iteratively for a polydisperse droplet population. One way of carrying out the iteration is to take the gas-phase  $\text{HNO}_3$  concentration to be the iterated variable. Thus, at given RH and with a given total amount (gas-phase + aqueous phase) of  $\text{HNO}_3$  per unit volume of air, a guess is made for the remaining gas phase acid concentration, and equilibria are calculated for all droplet sizes as if the system was open. If the sum of the gas and aqueous phase acids does not match the correct total amount of  $\text{HNO}_3$ , a new gas phase concentration is selected, and the iteration continues until the sum matches the correct value.

As an example, we calculated the behavior of the equilibrium size distribution of an ammonium sulfate particle population as a function of relative humidity in a closed system. The geometrical mean diameter for the size distribution was 10 nm, the total number concentration of the particles was  $500 \text{ cm}^{-3}$  and the geometric standard deviation was 2.0. In the gas phase, there was initially 5 ppb of  $\text{HNO}_3$ . The initial relative humidity was 95% at 278.15 K.

Figure 3a shows the equilibrium radius for each size bin at the relative humidity range 95–100%. Above the RH of 98.5%, the size distribution splits as the radius of the largest size class starts increasing very steeply as a function of RH, whereas the radii of the smaller size classes actually decrease. The splitting can be explained in terms of the open system Köhler curves. Figure 3b is magnification from Fig. 3a and shows the equilibrium radii of the two largest size bins (solid lines) for the relative humidity range 98.55–98.8%. The grey lines in the figure are the open system Köhler curves corresponding to the gas phase concentration of  $\text{HNO}_3$ , calculated for each value of relative humidity in the closed system (black dots on the solid lines).

Below about 98.6% relative humidity the open system Köhler curves are very close to each other. This is because the  $\text{HNO}_3$  is partitioned mostly in the gas phase and its gas phase concentration decreases very little as a function of RH. However, when the relative humidity increases to over 98.6%, the largest bin approaches a maximum at its open Köhler curves. Around the maximum and to the right from it the slopes of the open

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Köhler curves become very gentle, resulting in greatly increased uptake of water and  $\text{HNO}_3$  for a small increase in RH. This, in turn, forces the smaller droplets to evaporate some of their water and nitric acid, and their sizes begin to decrease. Furthermore, the droplets in the smaller size bins will never exceed their open Köhler curve maxima.

5 Thus, at the relative humidity where the largest size bin exceeds the open system Köhler maximum, a gap forms between the largest size bin and the smaller size bins. At higher relative humidities there is no such solution for the equilibrium, where the largest size bin would be on the ascending part of an open system equilibrium curve.

## 5. Non-equilibrium growth

10 In real, time-dependent situations, the equilibrium growth theory formulated above can be considered a limit that is approached asymptotically when the growth rate of relative humidity becomes slower and slower. In the atmosphere, the changes of RH are so rapid that when soluble trace gases are condensing into aqueous droplets, the droplets are practically always out of equilibrium during the growth. (To be more precise, they

15 may retain near equilibrium with water vapor but not with the trace gases). This is a simple consequence of mass transfer limitations with species having mixing ratios on the order of a few ppb.

If the limitations of mass transfer are taken into account when considering the splitting of the size distribution (Fig. 3), it is obvious that the growth of the largest size class from a few hundred nm to about one micrometer will in many cases take more time than it takes for the RH to increase above the open system Köhler maxima of some of the smaller size classes. In practice, then, the splitting of the size distribution may occur at smaller and smaller sizes the more rapidly the relative humidity is increasing (or temperature decreasing).

25 To investigate the non-equilibrium effects on the splitting of the size distribution, we made a cloud model simulation with the same parameters that were used to calculate the equilibrium size distribution shown in Fig. 3a. The rate of temperature decrease was

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chosen to be 1 K/h. Figure 4 shows the evolution of the size distribution as a function of relative humidity and is represented by solid lines. For comparison, the equilibrium size distribution is also included in the figure (dashed lines). Figure 4 shows that when the gas-phase diffusion of the condensing species is accounted for, two more size bins grow to over micron sized droplets compared to the equilibrium growth calculation. The relative humidity is still below 100%, so the particles are not activated in the traditional sense although a gap has appeared in the size distribution.

To investigate the effect of the cooling rate, we made calculations for the same size distribution of ammonium sulfate particles using different cooling rates in the range 0.1–10 K/s. The size distribution consisted of 200 size bins. The model was initialized at the temperature of 278.15 K and 95% relative humidity. The temperature was then decreased linearly until the relative humidity reached 99% at approximately 277.6 K. In all the simulations, the initial gas phase volume mixing ratio of  $\text{HNO}_3$  was 5 ppb. At the end of the simulation, we determined the location in the size distribution where the gap appears.

Figure 5 shows the index of the size bin below which the gap in the size distribution occurs. The size bin is shown as a function of cooling rates and the index for each cooling rate is denoted by a dot. The dry radii corresponding to the size bins are denoted by grey solid lines.

At extremely slow cooling rates, the location of the gap appears to be very much dependent on the cooling rate. When the cooling rate is increased, the location of the gap varies very little since the growth of the smaller droplets is more rapid, and, on the other hand, the difference in the heights of the open system Köhler curve maxima is bigger for smaller size bins (see Fig. 3).

## 6. Selective growth in stratospheric clouds

The effect of the semi-volatile species on the equilibrium size of the droplets at given relative humidity is enhanced at low temperatures. In the stratosphere, nitric acid mix-

ing ratios can be fairly high, and at temperatures below 200 K the uptake of  $\text{HNO}_3$  is significant already at low relative humidities (Tabazadeh et al., 1994; Kärcher and Solomon, 1999). In such conditions, the open system Köhler maximum of the largest droplets is lowered to about 30% RH. In principle, the largest droplets could then grow to micrometer size range when that relative humidity is reached.

We made simulations for typical stratospheric conditions using a droplet population initially consisting of dry sulfuric acid, with geometrical mean diameter of  $0.08 \mu\text{m}$  and geometrical standard deviation of 1.7, and applying two different number concentrations, 10 and  $20 \text{ cm}^{-3}$ . The number concentrations for different simulations are given in Table 1. The initial concentrations of water and nitric acid in the particle phase were calculated by equilibration. The gas phase volume mixing ratio for water was 4.5 ppm and for  $\text{HNO}_3$  it was 12 ppb.

In the beginning of the simulation, the initial temperature was 193.15 K, relative humidity was 24% and pressure was 60 mbar. The temperature was decreased linearly using two different cooling rates, 0.3 K/day and 1.0 K/day until relative humidity reached 45%, at approximately 189.15 K. The cooling rates for the different simulations are given in Table 1.

Figure 6 shows the evolution of the size distribution for simulation case A as a function of water vapour saturation ratio. In this simulation, we can clearly see the selective growth as the relative humidity increases. At slightly below 30% relative humidity, nitric acid starts to condense in the droplets and the size distribution gets wider. In that region, the largest particles approach the open system Köhler curve maxima. After relative humidity is further increased, the gap appears between the radii of  $0.4\text{--}0.6 \mu\text{m}$ , indicating that the largest droplets have exceeded the open system Köhler maxima and grow rapidly, simultaneously forcing the smaller droplets to evaporate some of their water and nitric acid.

The selective growth of the particle population changes the shape of the size distribution. Figure 7 shows how the initially unimodal distribution has developed into a bimodal distribution at 45% relative humidity. Figure 8 shows the final size distributions

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at 35% relative humidity for all four cases A–D.

From Fig. 8 we can see that for the equal cooling rates, the gap in the size distribution appears at the same size range independent of the number concentration. For the higher cooling rates (cases C and D) smaller droplets reach their open system Köhler maxima and so for these cases the number distribution in the larger mode is higher.

For the cases with lower number concentration, the gap is more distinct. This is because the nitric acid concentration is higher in the droplets and thus the growth of the droplets in the larger mode is enhanced.

Measurements have shown bimodal size distributions to exist in the polar stratosphere. The black line in Fig. 8 shows a size distribution fitted to measured distribution for which the large particles were interpreted to consist of nitric acid trihydrate (NAT) (Voigt et al., 2000). The mean diameters for the modes in the fitted size distribution are  $0.13\ \mu\text{m}$  and  $1.52\ \mu\text{m}$ , the geometrical standard deviations are 1.50 and 1.45 and the number concentrations are  $16\ \text{cm}^{-3}$  and  $0.5\ \text{cm}^{-3}$ .

When we compare the final distributions of cases A and B to the measured NAT size distribution, we can see that the mean diameter of the two modes match fairly well. For the model case B, also the number concentrations in the two modes match well with the measured data.

The calculated molar ratio of  $\text{H}_2\text{O}:\text{HNO}_3$  in the liquid phase for all the cases reaches a minimum at about 30% relative humidity after which the droplets are diluted with respect to nitric acid. Figure 9 shows the molar ratio  $\text{H}_2\text{O}:\text{HNO}_3$  at 30% RH for all the size bins. For case A, the minimum value is 3.58 and for all the cases the minimum is less than 3.7. The molar ratio increases towards the end of the simulation, but still at 35% RH, the minimum molar ratio in the droplets is under 4 for all the cases.

The conclusion of Voigt et al. (2000) that the large mode particles consisted of NAT was based on measured  $\text{H}_2\text{O}:\text{HNO}_3$  molar ratios, which were roughly between 3 and 3.5. As can be seen from Fig. 9, the molar ratios for micron sized STS particles can approach the upper limit of this range. Nevertheless, it has to be noted that the lidar depolarisation measurements indicate that the particles in the cloud were solid and

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most probably NAT.

## 7. Removal of gaseous nitric acid by liquid droplets in the stratosphere

Carslaw et al. (1997) have shown by thermodynamical equilibrium calculations, that the Kelvin effect will affect the partitioning of nitric acid in stratospheric droplets so that its concentration is high in droplets with size  $\sim 1 \mu\text{m}$  in radius and low in small droplets with size  $\sim 0.01 \mu\text{m}$ . The kind of selective growth shown here will further increase the concentration difference between the large and the small droplets. Also, in contrast to equilibrium model results, as Fig. 9 illustrates, when kinetical limitations of mass transfer is taken into account, the largest concentrations of nitric acid are in the particles which have a fairly high number density.

Figure 10 shows the partitioning of nitric acid between the large droplets which have exceeded their open system Köhler curve maxima and small “interstitial” droplets for case A. In Fig. 10, the blue line shows the liquid phase concentration in the large droplets, and the red line the concentration in the small droplets.

When the concentration of nitric acid in large droplets is compared to the total liquid phase nitric acid concentration (black dashed line), we can see that almost all of the nitric acid is in the largest droplets. Also, the gas phase concentration (blue dashed line) shows that nitric acid is efficiently depleted during the simulation.

## 8. Conclusions

We have extended the Köhler theory for aqueous solution droplets in the presence of a soluble trace gas to describe the equilibrium behavior of polydisperse droplet populations as a function of RH. Our equilibrium calculations for a closed system containing nitric acid show that as relative humidity grows enough, the uptake of  $\text{HNO}_3$  leads to splitting of the size distribution in such a way that the droplets in the largest size bin of

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the population start growing steeply as a function of RH, whereas the smaller droplets lose some of their water and nitric acid. The splitting can be explained in terms of open system Köhler curves which are calculated assuming a constant amount of gas-phase  $\text{HNO}_3$ . Considering the closed system (with respect to nitric acid), at a given RH some concentration of nitric acid remains in the gas-phase, and the closed system Köhler curve crosses the open system Köhler curve calculated for that specific gas-phase  $\text{HNO}_3$  concentration. Enhanced uptake of  $\text{HNO}_3$  results when the crossing point of the closed and open system Köhler curves approaches and passes the maxima on the open system curves.

When mass transfer limitations of the soluble trace gas are accounted for, it can be seen the splitting of the size distribution shifts toward smaller droplet sizes. The gap appears at smaller and smaller sizes when the relative humidity is increased faster. As an application of the theory, we showed that stratospheric supercooled ternary solution droplet populations may experience the splitting and become bimodal. The calculated size distributions match the measured size distributions for which the larger particle mode has been interpreted to consist of NAT (Voigt et al., 2000). The calculations show, that under certain conditions the liquid phase molar ratio of  $\text{H}_2\text{O}:\text{HNO}_3$  decreases close to 3 and this could indicate that such droplets can form NAT. On the other hand, since the measured liquid phase molar ratios of  $\text{H}_2\text{O}:\text{HNO}_3$  slightly exceed 3, it is not totally impossible that some of the measured particles could have actually been in the liquid phase.

*Acknowledgements.* This work was supported by the Academy of Finland.

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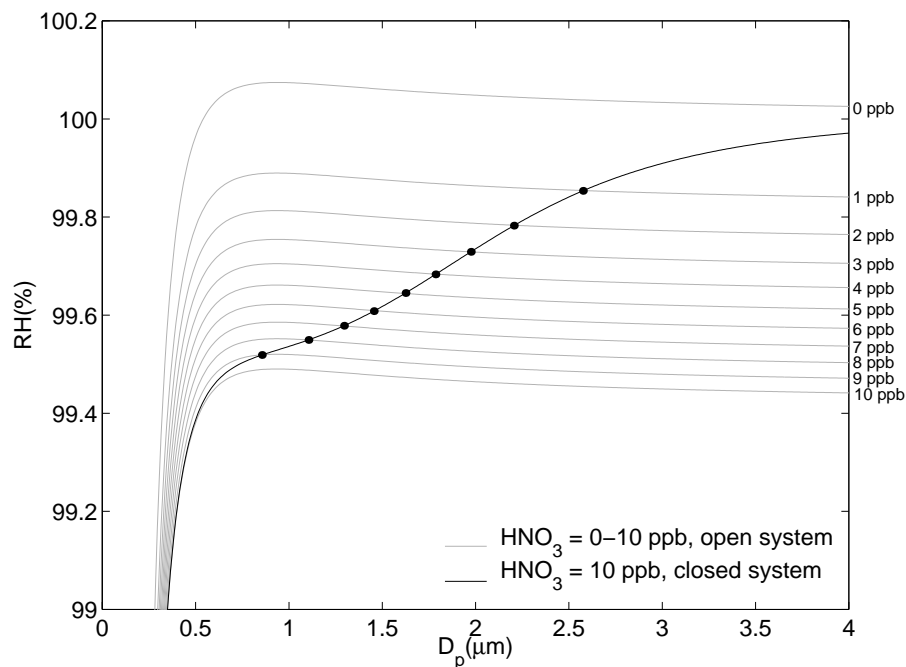
**Table 1.** Parameters for different simulations

Case	cooling rate (K/day)	number concentration (cm <sup>-3</sup> )
A	0.3	10
B	0.3	20
C	1.0	10
D	1.0	20



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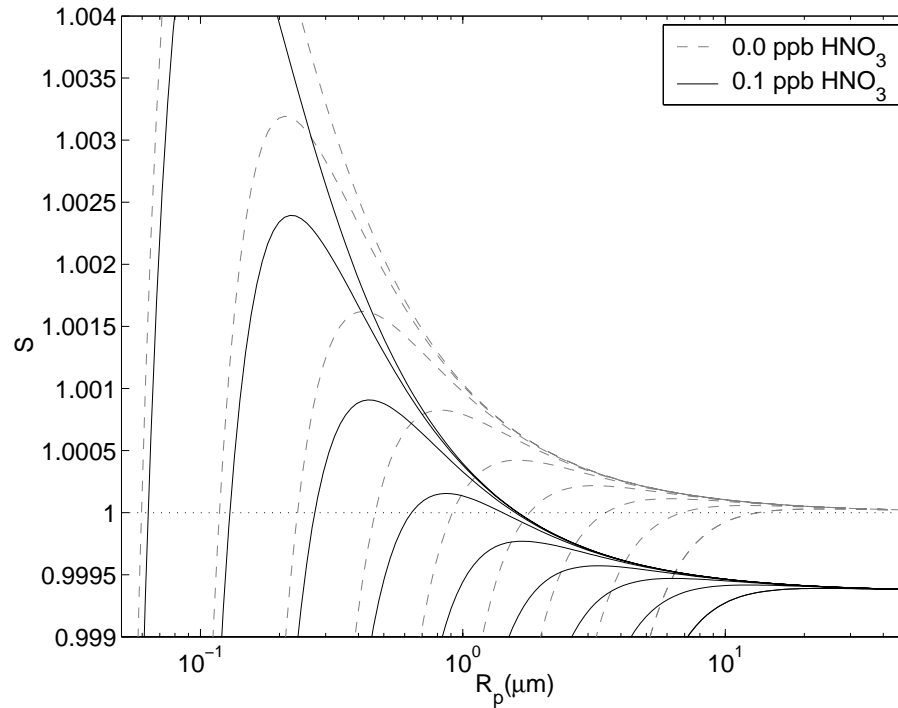
**Fig. 1.** Modified Köhler curves for an ammonium sulfate particle with dry diameter of 75 nm in an open system when the gas phase concentrations is varied between 0 and 10 ppb and the modified Köhler curve when 10 ppb of nitric acid is in the gas phase in a closed system.

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**Fig. 2.** Equilibrium saturation ratio for a case without  $\text{HNO}_3$  and with 0.1 ppb of  $\text{HNO}_3$  in the gas phase (open system).

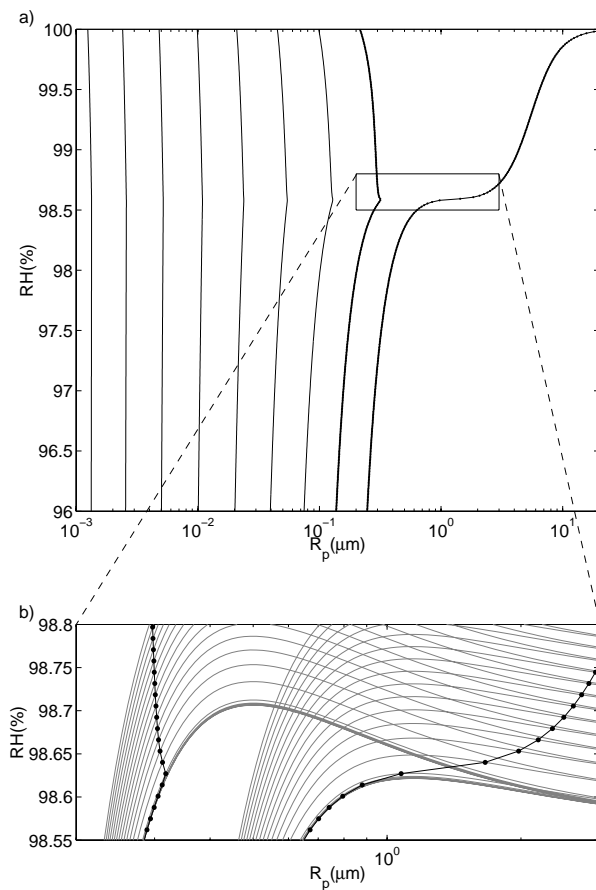
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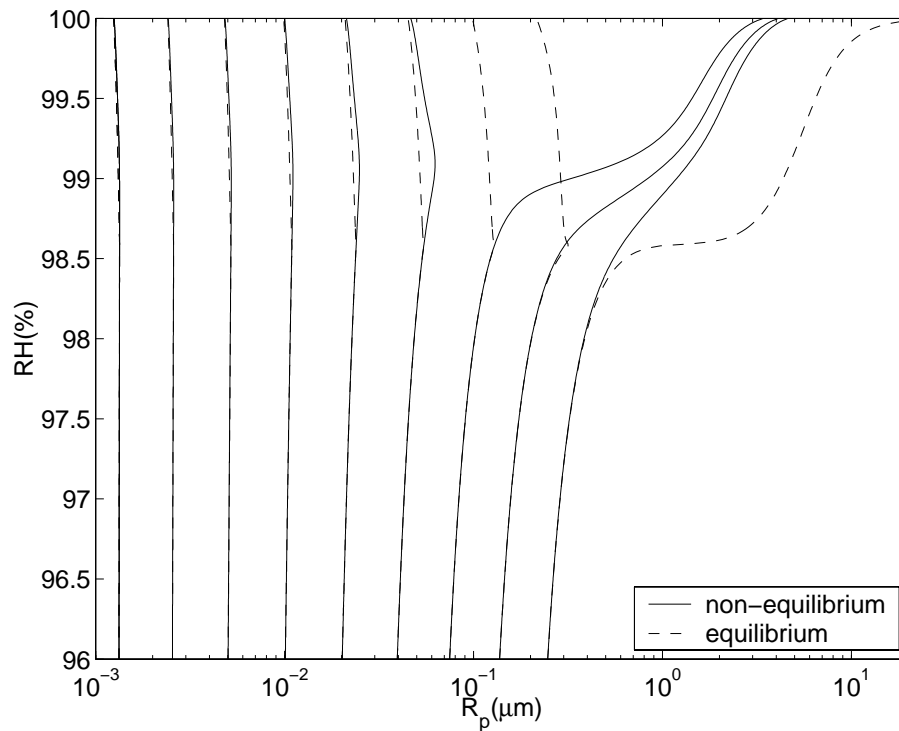


**Fig. 3.** Equilibrium size distribution calculated as a function of relative humidity (RH). The second figure shows the equilibrium size distribution (lines with dots) with the corresponding open system Köhler curves.

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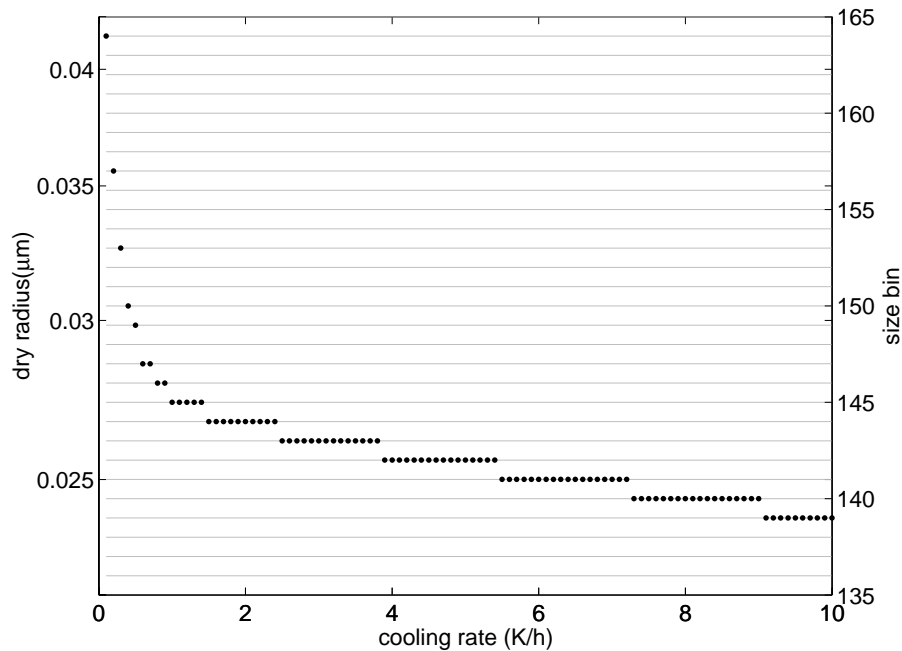
**Fig. 4.** Size distribution calculated as a function of relative humidity when diffusion is taken into account (solid lines) and the equilibrium size distribution (dashed lines)

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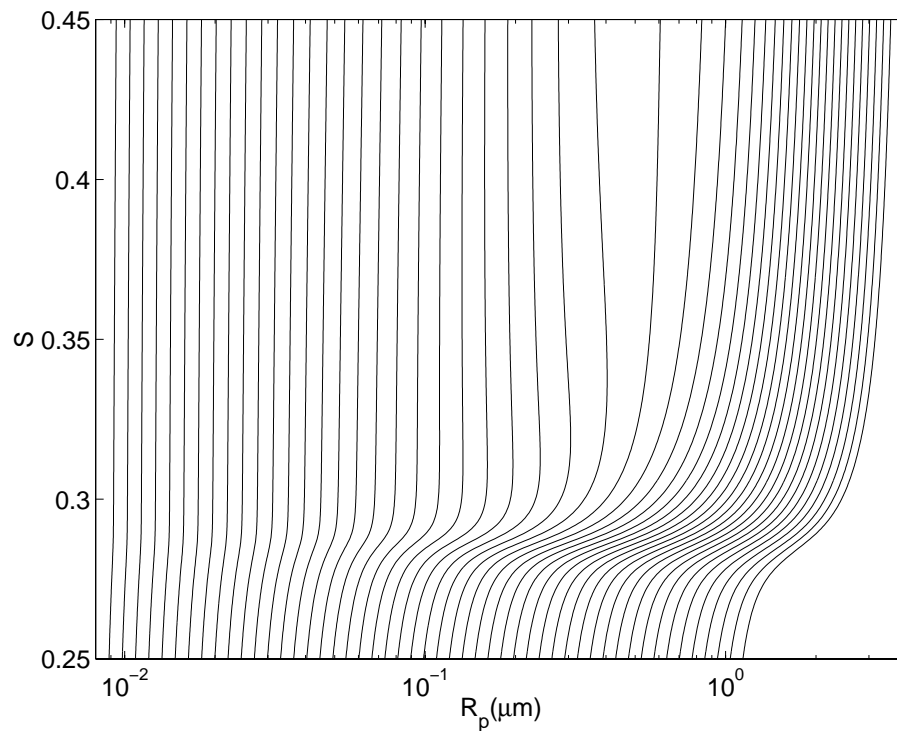
**Fig. 5.** The size bin under which a gap in the size distribution appears calculated and the corresponding dry radius of the particles in the size bin as a function of cooling rate.

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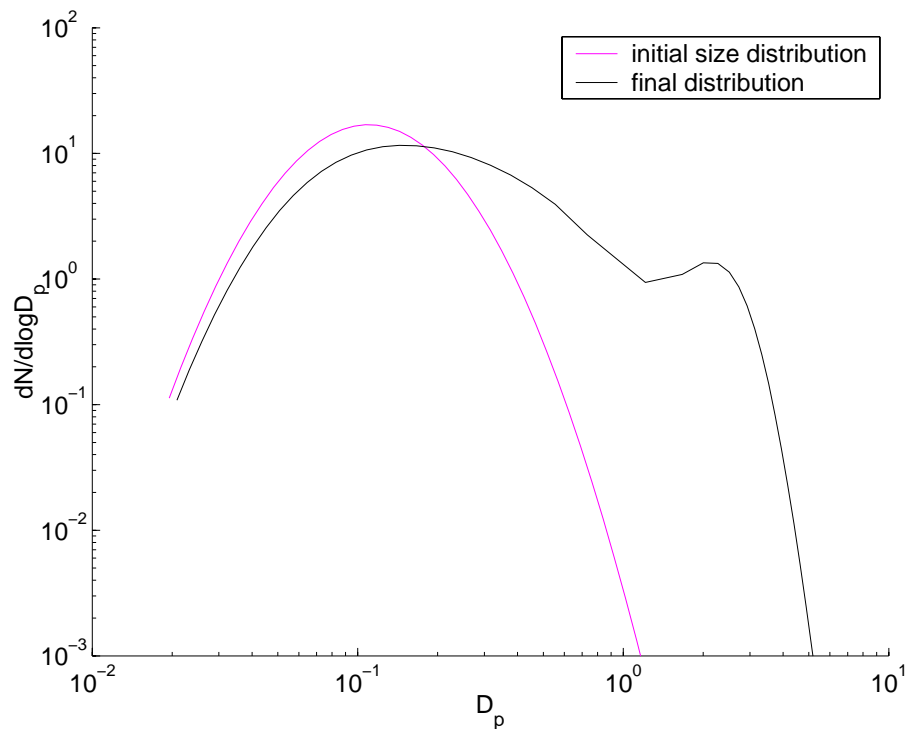
**Fig. 6.** The evolution of a size distribution in stratospheric conditions as a function of saturation ratio  $S$ .

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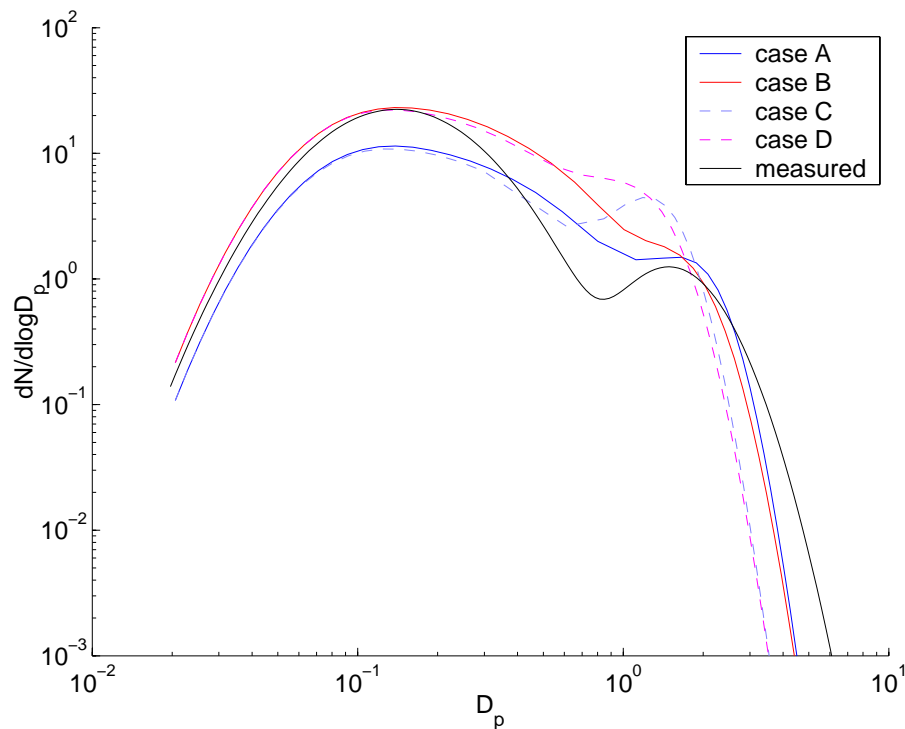
**Fig. 7.** The initial number size distribution (magenta line) and the final size distribution (black line) at 45% relative humidity.

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**Fig. 8.** The number size distribution at 35% relative humidity for cases A–D and the measured size distribution by Voigt et al. (2000).

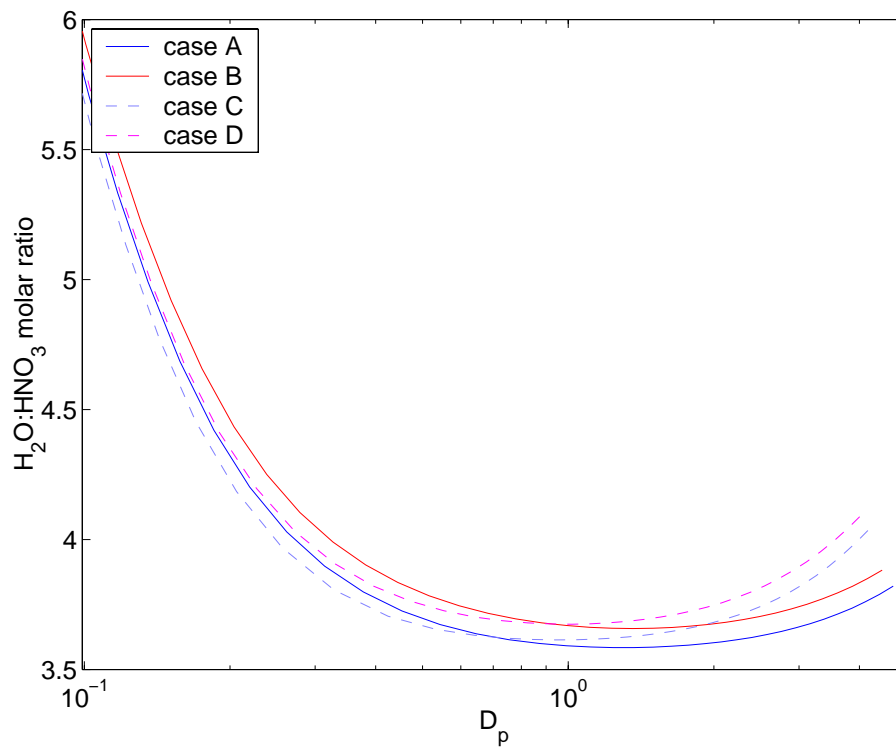
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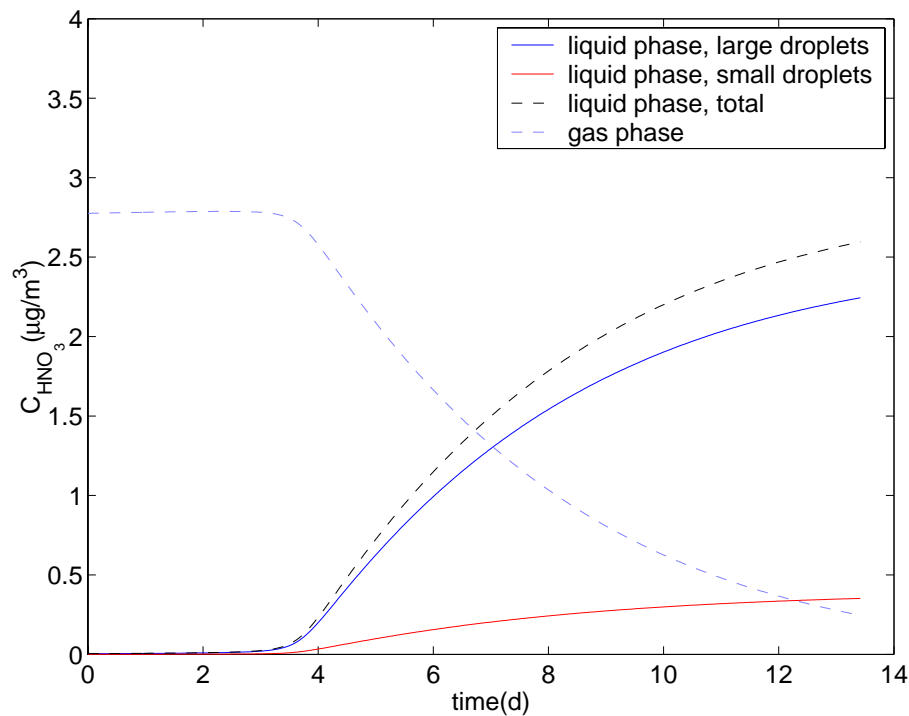
**Fig. 9.** Liquid phase molar ratio of  $\text{H}_2\text{O}:\text{HNO}_3$  at 30% relative humidity.

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**Fig. 10.** Liquid phase concentration of  $\text{HNO}_3$  in large droplets (dashed line), small droplets (dash-dot line), total liquid phase concentration of  $\text{HNO}_3$  and the gas phase concentration of  $\text{HNO}_3$  as a function of time.

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